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(54) **Lipase and isozymes, notably of *Candida rugosa*, and their use.**

(57) Process for stereoselectively hydrolyzing esters or esterifying acids with lipase preparations of *Candida rugosa* in aqueous/organic systems, where the acid is not substituted at the 2-position with a halogen, e.g. process for the production of R-2-(4-hydroxyphenoxy)propionic acid at high enantiomeric excess. Additionally disclosed is a process for separating and purifying the isozymes from the lipase of *Candida rugosa* and their use for the stereoselective hydrolysis of esters, transesterification of esters and esterification of acids.

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## ENZYMES AND THEIR USE

The present invention is concerned with the isolation, immobilization and use of enzymes and isozymes from preparations of lipase from Candida rugosa.

Background of the Invention

The use of lipases or esterases to stereoselectively hydrolyze esters has received considerable attention over the past several years. See Cambou B. and A.M. Klibanov, Lipase-Catalyzed Production of  
10 Optically Active Acids via Asymmetric Hydrolysis of Esters/Effect of the Alcohol Moiety, Appl Biochem & Biotech 9, 225-260 (1984); Dahod, S.K. and P. Siuta-Mangano, Resolution of Racemic Mixture of Aliphatic Acid Esters, Stauffer Chemical Company, European Patent Application 86104201.8 (03-26-1986) (hereinafter Dahod EPA); Dahod, S.K. and P. Siuta-Mangano, Carbon Tetrachloride-Promoted Stereo Selective Hydrolysis of Methyl-2-Chloropropionate by Lipase, Biotech. & Bioeng. 30, 995-999 (1987) (hereinafter Dahod  
15 Biotech); and Walts, A.E., Fox, E.M., and C.B. Jackson, R-Glycidyl Butyrate: Evolution of A Laboratory Procedure to an Industrial Process, Biotech USA 1987, Online International, London. This is due to a desire to produce agricultural or pharmaceutical intermediates with a particular stereo-chemistry where the undesired enantiomer possesses little or none of the activity, but may be responsible for unwanted toxicity. See Calton, G.J., Use of Microorganisms and Enzymes in the Synthesis and Production of Optically Active  
20 Agricultural Chemicals, ACS Symposium Series 334 Biotechnology in Agricultural Chemistry, Amer. Chem. Soc., Washington D.C., 1987. A number of propionic acid derivatives which are used in the agricultural and pharmaceutical fields fall into this category. See Dahod EPA, Dahod Biotech; and Calton, and Caldwell, J., Hutt, A. J., and Fournel-Gigleux, S., The Metabolic Chiral Inversion and Dispositional Enantioselectivity of the 2-Arylpropionic Acids and Their Biological Consequences, Biochemical Pharmacology 37, 105-114  
25 (1988).

Although a large number of lipases have been evaluated for the resolution of racemic alcohols and/or carboxylic acids, they have not generally met with great success due to enantiospecificities below 95%. It is generally accepted that enantioselectivities above 95% are required for an industrially significant process. See Akiyama, A., Bednarski, M., Kim, M.-J., Simon, E.S., Waldmann, H. and Whitesides, G.M., Enzymes in  
30 Organic Synthesis, Chemtech 1988, 627-634 (hereinafter Whitesides). Only a few of the lipases reported in the literature exhibit a high degree of stereospecificity. See Dahod EPA; Dahod Biotech; Walts, Nissan Chem Ind Japanese Pat Appl 8 6-20283/31; Stokes, T.M. and A.C. Oehlschlager, Enzyme Reactions in Apolar Solvents: The Resolution of ( $\pm$ )-Sulcatol With Porcine Pancreatic Lipase, Tetra. Lett. 28, #19, 2091-2094 (1987); Koder, Y., Takahashi, K., Nishimura, H., Matsushima, A., Saito, Y., and Y. Inada, Ester  
35 Synthesis from -substituted Carboxylic Acid Catalyzed by Polyethylene Glycol-Modified. Lipase From Candida rugosa in Benzene, Biotech. Lett. 8, 881-884 (1986); and Klibanov, A.M. and Kirchner, G. Enzymatic Production of Optical Isomers of 2-Halopropionic Acids, U.S. Patent 4,601,987, July 22, 1986. Lipases have also been extensively examined for the production of optically active esters from mixtures of acids and/or alcohols and have exhibited good enantioselectivity for esterification with long chain alcohols.  
40 See Klibanov, A.M. and Kirchner, G., Enzymatic Production of Optical Isomers of 2-Halopropionic Acids, U.S. Patent 4,601,987, Jul 22, 1986; and Cambou B. and Klibanov, A.M., Preparative Production of Optically Active Esters and Alcohols Using Esterase-Catalyzed Stereospecific Transesterification in Organic Media, J. Am. Chem. Soc. 106, 2687-2692 (1984).

The lipase from Candida rugosa (formerly known as Candida cylindracea) has been used extensively  
45 and has been shown to exhibit limited stereospecificity in the hydrolysis of some esters. This enzyme is available commercially from a large number of sources, including Sigma, Meito Sangyo, Biocatalysts, Enzyme Development Corp and Amano. Its production is based on extraction from fermentation broths and cells of C. rugosa ATCC # 14830. Prior work has shown that the lipase from Candida rugosa (Sigma) did not give stereospecific hydrolysis of methyl esters of 2-chloropropionic acid but did give a high enantiomeric excess in the hydrolysis of the octyl ester of 2-chloropropionic acid. See Klibanov, A.M. and Kirchner, G., Enzymatic Production of Optical Isomers of 2-Halopropionic Acids, U.S. Patent 4,601,987, Jul  
50 22, 1986. Additionally, it has been shown that the synthesis of the octyl ester was absolutely stereospecific. See Cambou B. and Klibanov, A.M., Preparative Production of Optically Active Esters and Alcohols Using Esterase-Catalyzed Stereospecific Transesterification in Organic Media, J. Am. Chem. Soc. 106, 2687-2692 (1984).

It has also been found that in a two phase aqueous/organic mixture at low temperatures (4° C), one can obtain high optical purity (90% ee) after 30% hydrolysis. Interestingly, heavily chlorinated solvents were superior for this hydrolysis and CCl<sub>4</sub> activated the enzyme in the presence of substrate, whereas in the absence of substrate, CCl<sub>4</sub> rapidly deactivated the enzyme. See Dahod and Siuta-Mangano. It has also been shown that the optical purity obtained in the reaction was a function of the extent of hydrolysis, in accordance with earlier predictions and that optical purity decreased rapidly as the reaction neared complete hydrolysis of one of the enantiomers.

Sih and co-workers, (Sih, C. J., Gu, Q-M, Fulling, G., Wu, S.-H and Reddy, D. R. J. Indus. Micro Suppl 3, Develop Ind Micro., 1988, 29, 221-229; Gu, Q.-M., Chen, C.-S. and Sih, C. J. Tet Lett 1986, 27, 1763-1766) have found excellent resolution of the (S) enantiomer of 2-arylpropionic acids, depending on the nature of the aryl substituent. For 2-(6-methoxy-2-naphthyl)propionic acid (naproxen) and p-isobutyl-hydratropic acid (ibuprofen), the enantiospecificity of *Candida rugosa* lipase was over 98% ee. However, for 2-(3-benzoylphenyl)propionic acid (ketoprofen), the ee was only 51%.

Workers at Nissan Chemical Industries (Nissan Chem Ind Japanese Patent JP-255917 1986) attempted to take advantage of the stereoselective hydrolysis by a preparation of *Candida rugosa* lipase for the production of an aryloxypropionic acid, (R)-(+)-2-(4-hydroxyphenoxy)propionic acid (R-HPPA); however, they only obtained an 87% ee at 21% hydrolysis in aqueous solution.

The lipase of *Candida rugosa* has been referred to as if it were a single enzyme regardless of its source although recently a separation of the crude enzyme has been published. See Abramowicz, D. A. and Keese, C. R., Enzymatic Transesterifications of Carbonate in Water-Restricted Environments, Biotech. Bioeng. 33, 149-156 (1989). However, it is well known in the literature that many enzymes contain a number of different isozymes with different specificities. Isozymes from pig liver esterase have been isolated. See Farb, D.H., Multiple Forms of Microsomal Porcine Liver Esterase: I. Isolation and Properties, Estimation of Secondary Structure. II. pH Dependence of Rate Contacting Groups, Diss Ab. Int B 38, 5900-5901 (1978); Farb, D. and Jencks, W.P., Dependence on pH of the Activity of Pig Liver Esterase. Arch. Biophys. 203, 227-35 (1980); and Guanti, G., Banfi, L., Narisano, E., Riva, R. and Thea, S., Enzymes in Asymmetric Synthesis: Effect of Reaction Media on the PLE Catalyzed Hydrolysis of Diesters, Tet. Let. 27, 4639-4642 (1986). Depending on the substrate, each of these isozymes may react differently to changes in the reaction conditions, and may give differing stereospecificity. However, no indication of isozyme existence in *C. rugosa* lipase exists, despite the fact that the enzyme has been extensively investigated even with isoelectric focusing. See Gerlach, D., Schneider, S., Gollner, T., Kim, K. S., and Schreier, P., Screening of Lipases for Enantiomer Resolution of Secondary Alcohols by Esterification in Organic Medium, Bioflavour '87, Proc. Int. Conf., 1988 Walter de Gruyter & Co., Berlin.

Notwithstanding the foregoing prior efforts, there is still considerable room for providing improved procedures for stereoselectively hydrolyzing or transesterifying esters or for esterifying alcohols or esters by enzymatic means. For example, there is a continuing interest in the enzymatic hydrolysis of (R,S)-(+)-methyl-2-(4-hydroxyphenoxy)-propionate (HPPA-Me) to produce (R)-HPPA in greater than 95% ee. Racemic HPPA is a valuable intermediate in the production of certain herbicides. Over 95% of the herbicidal activity of quizalofop ethyl has been found to lie in the (R)-isomer which is conveniently synthesized from (R)-HPPA. It, therefore, is of considerable significance to be able to resolve the racemic ester of HPPA in an economic fashion to provide this intermediate on an industrial scale. A purpose of the invention is to provide such improvements in enzymatic hydrolysis, esterification and transesterification procedures. Other objects will also be hereinafter evident.

#### Summary of the Invention

The present invention provides processes for stereoselectively hydrolyzing racemic mixtures of esters of 2-substituted acids with certain lipase preparations of *Candida rugosa* in aqueous/organic systems, where the acid is not substituted at the 2-position with a halogen. These processes are highly stereoselective for the hydrolysis. The invention also contemplates processes involving the transesterification of various esters and the esterification of acids and alcohols. The present invention also includes a process for the production of R-2-(4-hydroxyphenoxy)propionic acid at high enantiomeric excess using an enzyme. The invention also includes two highly useful isozymes discovered to be present in lipase preparations of *Candida rugosa* and a process for separating and purifying these isozymes from the lipase of *Candida rugosa* by chromatography as well as by isoelectric focusing. The use of these isozymes for hydrolysis of esters, transesterification of esters and esterification of acids is another feature of the invention. In addition, the use of

these isozymes to stereoselectively hydrolyze, esterify or transesterify is given. Methods of immobilization are disclosed and the use of the immobilized isozymes as well as the immobilized lipase of Candida rugosa are provided. A method for purification of enzyme activity by immobilization is also given. Additionally, a method is disclosed for the use of reducing agents to stabilize enzymatic hydrolyses. The use of the enzymes, isozymes and immobilized forms thereof in the presence of organic solvents is also described. Processes for the stereoselective production of 2-aryloxy substituted acids are given and the production of R-2-(4-hydroxyphenoxy)propionic acid is described using isozymes. The stereoselective production by isozymes of 2-arylpropionic acids is described and processes for the production of S-ketoprofen, S-ibuprofen, S-fenoprofen, S-2-phenylpropionic acid and S-indoprofen by this route are also provided. Also provided is a method for increasing the rate or stereoselectivity of a lipase mediated reaction.

According to one aspect of the invention there is provided a process for stereoselectively hydrolyzing esters into acids at high enantiomeric excess with either free or immobilized enzymes in a two-phase aqueous organic system where the organic phase is an aromatic organic solvent and where the two position of the acid is not substituted by a halogen.

According to another aspect of the invention, there is provided a process for production of R-2-(4-hydroxyphenoxy)propionic acid with enzymes from Candida rugosa.

Other aspects of the invention include the following:

- (1) a process for improving the stability of an enzyme or isozyme, used in the production of 2-(4-hydroxyphenoxy) propionic acid, with the use of a reducing agent;
- (2) a process for purifying and separating isozymes from preparations of the lipase of Candida rugosa, which comprises using ion exchange chromatography with an appropriate elution scheme to separate the lipase isozymes;
- (3) a process for purifying and separating isozymes of the lipase from Candida rugosa using isoelectric focusing;
- (4) an isolated isozyme of the lipase of Candida rugosa having an N terminal amino acid sequence:  
Ala-Pro-Thr-Ala-U-Leu-Ala-Asn-Gly-V-Thr-Ile-Thr-Gly-Leu-Asn-Ala-Ile-Ile-Asn-Glu-Ala-Phe-Leu-Gly-Ile-W-X-Ala-Glu-Pro-Pro-Y-Z-Asn-P  
wherein U, V, W, X, Y and Z are amino acids and P is the remaining portion of the peptide. More specifically and further in accordance with the invention, there is provided an isolated isozyme, referred to as CSC-1, and having the N terminal amino acid sequence:  
Ala-Pro-Thr-Ala-Lys-Leu-Ala-Asn-Gly-V-Thr-Ile-Thr-Gly-Leu-Asn-Ala-Ile-Ile-Asn-Glu-Ala-Phe-Leu-Gly-Ile-Pro-Phe-Ala-Glu-Pro-Pro-Val-Gly-Asn-P  
wherein V is an amino acid and P is the remaining portion of the peptide. Also according to the invention, there is provided an isolated isozyme, referred to as CSC-2, and having the N terminal amino acid sequence:  
Ala-Pro-Thr-Ala-Thr-Leu-Ala-Asn-Gly-Asp-Thr-Ile-Thr-Gly-Leu-Asn-Ala-Ile-Ile-Asn-Glu-Ala-Phe-Leu-Gly-Ile-W-X-Ala-Glu-Pro-Pro-Y-Z-Asn-Leu-Phe-Ile-ZZ-Leu-P wherein W, X, Y, Z, and ZZ are amino acids and P is the remaining portion of the peptide.

It will be evident to one skilled in the art that the isozymes described above can be obtained by methods involving recombinant DNA, wherein other methods of isolation might become possible. It is also apparent that minor amino acid sequence changes in the structure of the isozymes described above may be carried out by methods involving recombinant DNA without affecting the nature of the action and specificity of the isozymes while changing the amino acid sequence as given above;

- (5) a process for hydrolyzing esters in the presence of a lipase isozyme;
- (6) a process for esterifying acids or alcohols in the presence of a lipase isozyme;
- (7) a process for transesterifying esters in the presence of a lipase isozyme;
- (8) a process for stereoselectively hydrolyzing esters in the presence of a lipase isozyme;
- (9) a process for stereoselectively esterifying acids or alcohols in the presence of a lipase isozyme;
- (10) a process for stereoselectively transesterifying esters in the presence of a lipase isozyme;
- (11) processes for immobilizing the enzymes and isozymes of the lipase of Candida rugosa;
- (12) processes for using the immobilized enzymes and immobilized isozymes of the lipase of Candida rugosa;
- (13) a process for purification of an enzyme by immobilization;
- (14) processes for using the enzymes, isozymes or immobilized enzymes or immobilized isozymes in two phase aqueous/organic systems;
- (15) a process for the production of specific enantiomers of 2-aryloxy substituted acids with lipase isozymes;
- (16) a process for the production of R-2-(4-hydroxyphenoxy) propionic acid with lipase isozymes;

(17) a process for the resolution of 2-arylpropionic acids with lipase isozymes. Specifically, there are included processes for the production of S-ketoprofen, S-ibuprofen, S-fenoprofen, S-2-phenylpropionic acid and S-indoprofen. These processes are especially valuable with certain esters which are disclosed; and

(18) the use of certain additives which enhance the rate or stereoselectivity of the Candida rugosa lipase or the isozymes of Candida rugosa.

Additional features, objects and advantages of the invention are set forth in the more detailed description which follows.

#### Description of the Preferred Embodiments

The present invention includes a process for stereoselectively hydrolyzing racemic mixtures of esters into acids. This process comprises the steps of:

- a) immobilizing the lipase enzyme or an isozyme of Candida rugosa; and
- b) contacting the immobilized enzyme or isozyme with a racemic mixture of esters in the presence of an organic solvent which increases the stereoselectivity of the process. Organic solvents that optimize the stereoselectivity of the process include aromatic organic solvents, especially aromatic hydrocarbons. An especially preferred organic solvent is toluene. See Example 6. However, other organic solvents may also be used. This includes without limitation halogenated hydrocarbons.

Reaction conditions, e.g. temperature and time, may be varied. Advantageously room temperature (20-25°C) is used although other temperatures are also useful. Optimum reaction times, pH and other conditions can be readily determined for any specific situation.

Preferred esters that can be stereospecifically hydrolyzed in accordance with the claimed invention using the lipase enzyme or isozymes of Candida rugosa are the esters of 2-(aryloxy)propionic acids, particularly 2-(4-hydroxyphenoxy)propionic acid (hereinafter sometimes referred to as "HPPA"). The stereoselectivity increases when the enzyme is immobilized, preferably by using a polyaziridine prepolymer. Reducing agents e.g. sodium hydrosulfite, can be added, in small amounts to prevent the oxidation of HPPA and its esters.

As shown in Example 9, the stereospecificity of the immobilized enzyme increases with usage. In the first batch hydrolysis of HPPA-Me to HPPA resulted in the production of 82% R-HPPA and 18% S-HPPA, whereas in both the seventh and eighth batch hydrolyses, the conversion was 100% R-HPPA and 0% S-HPPA. Although the stereospecificity significantly increased with usage, the activity slightly decreased. The increased stereospecificity obtained in accordance with the claimed process is critical for an industrial process since as noted earlier, an industrial process normally requires greater than 95% ee.

The invention also includes a process for purifying and separating stereoselective enzymes of the lipase of Candida rugosa, which comprises using ion exchange chromatography; and separating the resulting lipase isozymes with an appropriate elution scheme. The elution scheme can be either a stepwise pH scheme, a pH gradient scheme, or a salt elution scheme. A preferred elution scheme is the stepwise pH scheme. A preferred application of this process involves purifying the enzymes of the lipase of Candida rugosa so as to elute the two isozymes, CSC-1 and CSC-2.

The purification and separation of stereoselective isozymes of the lipase Candida rugosa may also be accomplished using isoelectric focusing. As demonstrated in Example 58 the enzyme of the lipase of Candida rugosa may be separated into two isozymes, CSC-1 and CSC-2, both of which demonstrate exceptional stereospecific hydrolytic activity.

The purification and separation process of the invention is suitable for use on a large scale. Various chromatography supports can be used in the present invention. Preferably, the chromatography support is substituted with a strong acid. More specifically, the chromatography support is derivatized with a sulfopropyl functional group. Preferred supports include SP-Trisacryl™, and SP-Sephacryl™. See Examples 11, 12, 13, and 14.

As noted earlier, the invention provides an isolated isozyme of the lipase of Candida rugosa having the N terminal amino acid sequence:

Ala-Pro-Thr-Ala-U-Leu-Ala-Asn-Gly-V-Thr-Ile-Thr-Gly-Leu-Asn-Ala-Ile-Ile-Asn-Glu-Ala-Phe-Leu-Gly-Ile-W-X-Ala-Glu-Pro-Pro-Y-Z-Asn-P

wherein U, V, W, X, Y and Z are amino acids and P is a peptide. More specifically, the invention contemplates such an isozyme, referred to as CSC-1, having the sequence:

Ala-Pro-Thr-Ala-Lys-Leu-Ala-Asn-Gly-V-Thr-Ile-Thr-Gly-Leu-Asn-Ala-Ile-Ile-Asn-Glu-Ala-Phe-Leu-Gly-Ile-Pro-

Phe-Ala-Glu-Pro-Pro-Val-Gly-Asn-P

wherein V is an amino acid and P is a peptide the remaining portion of the peptide. CSC-1 is also characterized by an isoelectric point of 5.3-5.7 and a molecular weight of 55-65000.

- 5 The invention also provides another isozyme isolated from the lipase of Candida rugosa, referred to as CSC-2, and having an N terminal amino acid sequence:

Ala-Pro-Thr-Ala-Thr-Leu-Ala-Asn-Gly-Asp-Thr-Ile-Thr-Gly-Leu-Asn-Ala-Ile-Ile-Asn-Glu-Ala-Phe-Leu-Gly-Ile-W-X-Ala-Glu-Pro-Pro-Y-Z-Asn-Leu-Phe-Ile-ZZ-Leu-P

wherein W, X, Y, Z, and ZZ are amino acids and P is the remaining portion of the peptide.

- 10 It has been found that the isozymes CSC-1 and CSC-2, obtained from the lipase of Candida rugosa, have different and sometimes opposing stereoselectivities. These isozymes, CSC-1 and CSC-2, are useful in the stereoselective hydrolysis of various esters, as described below.

- The lipase of Candida rugosa which is used herein either as such or for isolation of the indicated isozymes, is available from several commercial sources. While the commercially available enzymes are all derived from Candida rugosa, they differ in isoelectric focusing profile and they also exhibit a large variation in the concentration of the proteins which are present. It has also been found that the commercial enzyme preparations demonstrate varying degrees of stereospecificity for hydrolysis of esters. The reason for the differences in the stereospecificity of hydrolysis of the commercially available lipases is apparently due to the variation in the concentration of several proteins present in the lipase of Candida rugosa. While the isozymes CSC-1 and CSC-2 may be present in all available lipase of Candida rugosa, certain of such lipase preparations are preferred as sources for the isozymes. Lipase MY from Meito Sangyo is advantageously used in the isolation of the isozymes, CSC-1 and CSC-2. Other lipases, such as lipase AY and lipase Sigma, are also suitable.

- Samples of both CSC-1 and CSC-2 have been analyzed by microsequencing techniques. The microsequencing shows the two fractions are in fact different enzymes and not degraded products of each other. See Example 13. Microsequencing also shows that there is large sequence homology between CSC-1 and an acetylcholine esterase from Torpedo californica, the electric eel. However, upon checking the eel acetylcholine esterase for its ability to hydrolyze HPPA esters, very low hydrolyzing activity with no stereospecificity was seen. See Example 13.

- This serves to underscore the unobviousness in finding that the isozymes are uniquely effective in their stereospecificity activity. The composition of the two novel isozymes, CSC-1 and CSC-2, is illustrated by their N-terminal amino acid sequence, noted earlier and as shown in Example 13.

- It has been found according to the invention that the isozymes, CSC-1 and CSC-2, when used in the hydrolysis of a racemic mixture of ester, produce highly chiral products. The isozyme CSC-1 has been shown to give highly stereoselective hydrolysis of racemic mixtures of the methyl or ethyl esters of 2-(4-hydroxyphenoxy)propionic acid. See Examples 15 and 16. The isozyme CSC-2 is particularly effective at stereoselectively hydrolyzing esters of ketoprofen. Hydrolysis of esters using CSC-1 and CSC-2 can be conducted using a two-phase (aqueous/organic) reaction medium.

- The activities of CSC-1 and CSC-2 vary significantly and one may be preferred over the other for any particular reaction. For example a comparison of CSC-1 and CSC-2 shows that the rate of conversion of racemic HPPA methyl ester is far higher for CSC-1 than for CSC-2 and that the enantiomeric excess was also slightly increased. See Example 17. On the other hand, superior results are obtained when the esters of ketoprofen are hydrolyzed with CSC-2. The isozyme CSC-2 is also superior to lipase MY for hydrolysis of esters of ketoprofen and the rate for CSC-2 hydrolysis is also significantly better than that of lipase MY, although the lipase itself may be used. See Example 18 and 19. The use of a two-phase mixture in isooctane or like solvent (preferably hydrocarbon) also gives excellent enantiomeric excess (ee). See Example 18. A comparison of the effect of CSC-1, CSC-2 and lipase MY indicates the superiority of CSC-2 for hydrolysis of ketoprofen monoglyceride in addition to its superior enantiomeric excess. See Example 19.

- Organic solvents may be advantageously used to increase the solubility of ketoprofen methyl esters in water which is usually buffered to give a pH of around 6-8. However, care needs to be taken to insure that the solvent used, or the amount thereof, does not affect the results. Thus, for example, it has been found that the dissolution of ketoprofen esters in dimethylformamide (DMF) with increasing quantities of DMF may have a deleterious effect on the CSC-2 isozyme. The large scale hydrolysis of ketoprofen monoglyceride may also show a low enantiomeric excess when a substantial amount of DMF is added. See Examples 20 and 21.

- 55 In accordance with another aspect of the invention, the hydrolysis of esters with CSC-2 can be conducted in the presence of a surfactant. An advantage in using an additive such as a surfactant, is that any adverse effect of DMF on ee can be prevented or minimized. See Example 22. Preferred surfactant additives include oleate esters, vegetable oils, animal oils and other oleate containing surfactants.

The use of low polarity solvents in a two-phase system for the hydrolysis of ketoprofen monoglyceride is also advantageous in providing superior rates and ee. See Example 23.

The isozymes or lipase can be usefully immobilized in a large variety of ways, all of which provide active material. The immobilized isozymes can then be used in producing chiral acids. For example, the isozyme CSC-1 can be immobilized and used in the hydrolysis of various esters. Immobilization of the isozyme CSC-1 using polyaziridine or Eupergit C is highly effective for the hydrolysis. See Example 25. Example 33 shows that there is an extended catalyst lifetime for CSC-1 immobilized by the polyaziridine procedure. The immobilization can be undertaken in the presence of an organic acid. See Example 29. It has also been found that polyvinyl alcohol has a beneficial effect on the immobilization of lipase CSC-1 as the relative activity appears to be substantially increased by the addition of this reagent. See Example 30.

A preferred method of immobilization for the isozyme CSC-2 involves the use of silica. See Example 24. Example 31 illustrates immobilization of crude lipase MY.

Organic acids also appear to have a positive effect on the immobilization as demonstrated with lipase MY in Example 26. Although each of the acids tested is shown to be somewhat effective, it appears that preferred acids are those having 12-18 carbon atoms, stearic acid being preferred. The effect of stearic acid is dose related below 5% concentration whereas above this level there is a consistent effect for the immobilization. See Example 27. A finer delineation of the protective effect of stearic acid indicates that 5% stearic acid is optimum for the relative activity. However, other dosages are effective and may be used. See Example 28.

An alternative method for the production of the stereospecific lipases shows that both cation and anion exchangers can be used for the isolation of CSC-1. See Example 34.

The isozymes CSC-1 and CSC-2, are effective in hydrolyzing esters of 2-arylpropionic acids such as ibuprofen esters, fenoprofen methyl ester, 2-phenylpropionic acid methyl ester, and indoprofen monoglyceride by way of illustration. As shown in Example 35, ibuprofen, a non-steroidal anti-inflammatory, is resolved effectively by lipase MY, CSC-2 and CSC-1, the rates and ee being highest with CSC-2. For fenoprofen methyl ester, another non-steroidal anti-inflammatory, CSC-1 and CSC-2 have clearly superior rates and stereoselectivities. See Example 36.

The effective rate of hydrolysis of the methyl esters of ketoprofen and ibuprofen appears to be superior for CSC-2; however, CSC-2 and CSC-1 are equal in rate for fenoprofen. See Example 36. Example 37 shows the use of CSC-1 and CSC-2 for the resolution of 2-phenylpropionic acid methyl ester. Again, CSC-2 predominates in rate and stereoselectivity although both isozymes are superior to lipase MY, even though lipase MY is used in much greater quantity.

The hydrolysis of indoprofen monoglyceride shows that CSC-2 gives a stereospecific resolution in which the S-form predominates while lipase MY and CSC-1 give predominantly the R-form. See Example 38.

The use of a surfactant in the hydrolysis of ketoprofen monoglyceride by CSC-2 resulted in an increase in the relative rate. For instance, Tween 80 is effective when added to the reaction mix in the presence or absence of BSA. See Example 39. This effect was examined further for a large number of surfactants of various types. It can be seen from Example 40 that the major accelerators of enzyme rate for CSC-2 are the oleic acid esters. Oleate esters, specifically, were evaluated and it was found that the percent ee and the rate of hydrolysis were increased for CSC-2 on ketoprofen monoglyceride. See Example 41. Other oleic acid derivatives which are not generally used as surfactants were evaluated and it was shown that oleic acid and its esters increased the rate as well as the enantioselectivity. See Example 42.

Oleate esters are also found in natural oils. Thus, natural oils were also tested for their effect on hydrolysis. Olive oil shows a specific stimulation of CSC-2 activity. See Example 43. It was also shown that olive oil and corn oil act as natural substrates for hydrolysis using CSC-2 and that transesterification also occurs. See Example 44. Thus, transesterifications may increase the value of low grade or undesirable oils which have or one might wish would have oleic esters. The isozymes of the present invention may also be used to hydrolyze such oils which are of low grade to produce valuable acids which may be separated by known chemical methods. See Example 44. Other naturally occurring triglycerides have also been evaluated. See Example 45. The natural oils tested, which were glycerol esters, were found to be accelerators of CSC-2 activity. Example 46 shows that the addition of a number of oleic esters or oleate acid will increase the rate and the enantiospecificity of the hydrolysis of esters ketoprofen by CSC-2 and also indicates the extraction procedure used must be such that it will separate the oil/oleic acid from the ketoprofen. See Example 46.

However, not all oils enhance the activity of CSC-2 with all substrates. For example, mineral oil, a mixed hydrocarbon, did not accelerate the hydrolysis of ketoprofen monoglyceride. See Example 47. It was further found on examination of esters, other than those of oleic acid and linoleic methyl ester that no rate



enhancement occurred. See Example 48.

Oleic acid was found to have a rate enhancing effect on both lipase MY and CSC-2, but not on CSC-1. Oleic acid also increased the enantiomeric excess for CSC-2, but not as dramatically for lipase MY. See Example 49. Oleic acid was found to be effective in promoting the hydrolysis of hydroxy esters ketoprofen.

5 The oleic acid effect was seen on both lipase MY and the Biocatalyst lipase B. See Example 50. A notable improvement in the hydrolysis of the 2-chloroethyl ester of ketoprofen was seen for CSC-2 and lipase MY in the presence of oleic acid and again the ee was increased. See Example 51.

A survey of hydrolyses with other enzymes with oleic acids/ esters and a comparison of the effect on rate enhancement and ee shows that lipase OF and Biocatalyst Candida lipase give dramatic increases with hydroxy esters. Pig liver esterase hydrolyzed these hydroxy esters to give the opposite enantiomer. See

10 Example 52. The use of immobilized CSC-2 showed the same type of rate enhancement and enantiomeric excess as that for the free CSC-2 when oleic acid was added. See Example 53.

The addition of an organic solvent such as hexane also shows significant rate enhancement when increasing concentrations of oleic acid are present. A concentration of 1 to 25% oleic acid in hexane, volume to volume, is useful. The preferred range is 5 to 15%. See Example 54 and 56. Examination of

15 additional solvents showed that neither aromatic solvents (toluene) nor chlorinated solvents (chloroform) were as beneficial. However, aliphatic solvents such as hexane and isooctane gave significantly increased rates. See Example 55. A further examination of additional solvents with both ketoprofen monoglyceride (KPG) and ketoprofen ethylene glycol (KPEG) esters showed that aliphatic solvents gave improvements in rate and in enantiomeric excess. See Example 56.

A C. rugosa lipase Enzeco Rxx, which appeared to be the same as CSC-2 by IEF, had a slightly higher molecular weight and gave quite different stereoselectivity. Unusually, a rate enhancement with oleic acid was seen in the hydrolysis of KPEG, but not KPG. This indicates that either SDS-PAGE or IEF alone is not

25 enough to distinguish the different enzymes. See example 57.

The enzyme preparation of lipase MY may also be separated on a preparative scale by isoelectric focusing and CSC-1 and CSC-2 may be obtained in this manner. See example 58.

Suitable methods for immobilizing the lipase for use herein are known in the art. See, for example, U.S. Patent 4,436,813 which describes the immobilization of enzymes or cells containing the same using prepolymer materials such as polyazetidene prepolymers (e.g. Polycup), carboxymethyl cellulose, poly-

30 methylene isocyanate and polyurethane hydrogel prepolymers. Any of these materials may be used for present purposes in the manner described in 4,436,813. Also useful herein for immobilizing the enzyme are curable, polyfunctional aziridine prepolymers as described in U.S. Patent 4,650,755 and Serial No. 938,248, the contents of which are incorporated herein by reference. Additional immobilizing agents are illustrated in the examples given herein.

35 The following examples illustrate, but do not limit, the invention:

#### Example 1

40 The proteins present in commercial C. rugosa lipases from three available sources (Meito Sangyo, Biocatalyst Ltd., and Amano) were examined by SDS-PAGE to determine molecular weight profiles. Enzyme preparations were dissolved in 1% SDS- .05M Tris pH 8.0, 1% mercaptoethanol at a concentration of 1 mg/ml. The samples were analyzed on a Phast system (Pharmacia LKB) using pre-made 10-15% gradient polyacrylamide gels. Protein (0.2ug-2.0ug/lane) was applied to the gel and run for 73 volt-hr at 15° C. Gels

45 were fixed in ethanol-acetic acid and the protein was stained with silver using the Pharmacia development chamber.

Lipase MY (Meito Sangyo), lipase AY (Amano) and Candida cylindracea lipase (Biocatalyst) each had one major band on the gel with a molecular weight of 60 kilodaltons, (see Fig 1). However, as also shown in Fig. 1, Lipase AY (Lanes 2 and 5) had another major band.

50

#### Example 2

The composition of commercially available lipase MY was examined using CM-Trisacryl and DEAE-

55 Trisacryl chromatography.

Lipase MY (Meito Sangyo), 0.33gm was dissolved in 10ml of 0.25mM Na<sub>2</sub>HPO<sub>4</sub> (pH 7.0), and solids were removed by centrifugation. The protein was applied to a 2.5 x 10cm CM-Trisacryl M column equilibrated in the same buffer. The flow rate was adjusted to 1.0ml/min. The resin was washed with the



same buffer until the absorbance at 280nm was zero. A single protein peak containing all the enzymatic activity was eluted using this ion exchange resin.

Lipase MY, 5gm, was dissolved in 0.15M NaCl -0.01M Na<sub>2</sub>HPO<sub>4</sub> (pH 7.3), and the solids were removed by centrifugation. The protein was desalted by chromatography on Sephadex G-25 resin with 25mM Na<sub>2</sub>HPO<sub>4</sub> (pH 7.0). The protein fractions were pooled and applied to a DEAE-TrisAcryl M column 2.5 x 10cm equilibrated in 25mM Na<sub>2</sub>HPO<sub>4</sub> pH 7.0. Elution was carried out by washing the resin with the same buffer. All enzyme activity and protein eluted as a single peak. Based on this data it is assumed that the protein in the lipase MY preparation is a single species.

### Example 3

This example illustrates the hydrolysis of the methyl ester of 2-(4-hydroxyphenoxy) propionic acid (HPPA-Me) using lipase MY.

Lipase MY (Meito Sangyo), 340mg, 4g of R,S-HPPA methyl ester, and 200ml of 20mM KH<sub>2</sub>PO<sub>4</sub> - (adjusted to pH 6.5) were mixed, with shaking, for 5.5 hours.

Analysis of the acid formed was carried out by extraction of the ester after adjustment of the aqueous solution to pH 6.5 (a slight pH drop occurs on hydrolysis) with CH<sub>2</sub>Cl<sub>2</sub> followed by adjusting the pH to 2 and extraction of the acid with ethyl acetate. The solution was evaporated to dryness, taken up in water and analyzed by HPLC with a Macherey Nagel-Duren Resolvosil BSA-7 column using 5% n-propanol, 10mM KH<sub>2</sub>PO<sub>4</sub>, pH 5 at 2.7ml/min with detection at 220nm. At that time 35% of the R, S-ester was converted to the acid. The ratio of R and S acids was 94% R and 6% S.

### Example 4

Comparison of the stereoselectivity of commercially available lipases obtained from *C. rugosa*.

Lipase MY (Meito Sangyo), Candida lipase B (Biocatalyst, Ltd), lipase OF (Meito Sangyo), and lipase AY (Amano) were compared for stereoselectivity for production of R-HPPA from R,S-HPPA methyl ester. The preparations, in amounts shown in Table 1, were added to 50 ml of 20 mM HPPA methyl ester in 50 mM KH<sub>2</sub>PO<sub>4</sub> pH 6.5. The substrate lipase reactions were incubated with shaking at 25°C for up to 22 hours. The ratio of R to S acid was determined by HPLC as described in Example 3 at approximately 16% hydrolysis of the ester. The results are shown in Table 1:

Table 1

Lipase preparation	mg of enzyme added	% R-acid
Lipase MY	10.7	93
Lipase AY	10.6	93
Lipase B	9.4	69
Lipase OF	9.6	68

As indicated, lipase MY and lipase AY both demonstrated greater stereospecificity than lipase 33 B or lipase OF.

### Example 5

This example describes the two phase lipase MY hydrolysis of HPPA-Me.

Soluble lipase MY enzyme (2gm) was added to toluene (50ml) containing 1M HPPA-Me (196 gm/l) and 50ml 1M KH<sub>2</sub>PO<sub>4</sub> pH 7.0 and allowed to convert the R,S ester to the acid. The acid ratio in this two-phase system (toluene/water), at 46% hydrolysis of the R,S ester, was 92% R-acid and 8% S-acid.

Example 6

Optimization of two phase lipase MY hydrolysis in various organic solvent/water mixtures.

All reactions were set-up as follows:

5 A. 75% organic solvent/25% aqueous buffer -Fifty milligrams of R,S-HPPA-Me was combined in 10 ml Scintillation vial with 7.5 ml of organic solvent, 2.5 ml of 50 mM phosphate buffer pH 6.0, and 5 mg lipase MY. The reactions were placed on shaker and shaken for a period of 0-43 hours with samples being taken at various times.

10 B. 25% organic solvent/75% aqueous buffer -Fifty milligrams of R,S-HPPA-Me was combined, in 10 ml Scintillation vial with 2.5 ml of organic solvent, 7.5 ml 50mM phosphate buffer (pH 6.8), and 5 mg lipase MY. The reactions were shaken for a period of 0-143 hours with samples being taken at various time points. The data in Table 2 represents the % conversion and % R-HPPA produced using the indicated organic solvents in a two-phase system wherein 75% dry volume of the total vehicle (solvent) was water and the balance (25%) was organic solvents.

Table 2

Solvent	Solvent	Time	Conversion	%R	Solubility
	%	(Hr)	(ester/acid)	%	(HPPA-ME) mg/ml
carbon tetrachloride	25	2.7	58	79	33.0
	75	2.7	61	76	
1,2-dibromoethane	25	43	33	73	565.7
	75	43	23	57	
tetrachloroethylene	25	5.3	61	83	1.4
	75	5.3	58	85	
1,1,2 trichloroethane	25	43	29	79	558.0
trichlorotrifluoroethane	25	5.3	49	100	insoluble
	75	2.7	60	85	
xylenes	25	5.3	49	100	
	75	5.36	41	89	
toluene	25	2.7	385	100	236.3
	75	2.7	25	90	
acetone	25	43	0	0	nt
	75	43	0	0	nt
2-propanol	25	43	0	0	nt
	75	43	0	0	nt
cumene	25	2.7	35	100	20.0
cyclohexane	25	2.7	35	100	66.4
	75	2.7	31	83	
fluorobenzene	25	5.3	40	95	155.5
	75	5.3	29	86	
ethyl alcohol	25	43	0	0	nt
	75	43	0	0	nt

55 As will be seen the best results were obtained using the lower amount of solvent with the aryl hydrocarbons or mixed chlorofluorohydrocarbon, namely trichloro trifluoroethane.

Example 7

This example illustrates the use of immobilized lipase MY in the hydrolysis of HPPA-Me.

Immobilized lipase MY as prepared by the following methods was shaken with a toluene solution of HPPA-Me and phosphate buffer (pH 6.5) at room temperature for up to 60 hrs. The aqueous solution was then assayed for the concentration of R-HPPA by HPLC as in Example 3. The enzyme was washed with deionized water and added to a new batch.

A. Immobilization of Lipase MY on Amberlite IRC-84 or DP-1 with XAMA-7 . Lipase MY (1.10g) was mixed thoroughly with 2.5g of dehydrated Amberlite IRC-84, or Amberlite DP-1 0.85g of XAMA-7 and 2ml of phosphate buffer (pH 6.5, 0.1M). The mixture was allowed to stand at room temperature for 4 hrs during which time the enzyme was immobilized. The catalyst was washed and then stored at 4° C.

B. Immobilization of Lipase MY on Amberlite DP-1 with Polycup . Lipase MY 0.15g, dehydrated Amberlite DP-1 0.5g and polycup 2ml were heated at 45° C for 4 hrs. The immobilized enzyme was washed and assayed with HPPA-Me. The immobilization yield was 40%.

C. Immobilization of Lipase MY on Sodium Periodate Activated Cellulose Beads . Lipase MY 0.15g and sodium periodate (NaIO<sub>4</sub>) activated cellulose beads 1g were shaken in 5ml of phosphate buffered saline (PBS) at room temperature for 20 hrs. The immobilized enzyme was collected, washed and assayed with HPPA-Me. The immobilization yield was 90%.

D. Immobilization of Lipase MY on Chitin with Glutaraldehyde . Lipase MY 0.25g, chitin 0.5g and glutaraldehyde (50%) 500ul were shaken in 10ml of PBS at room temperature for 20 hrs. The immobilized enzyme was collected, washed and tested with HPPA-Me. The immobilization yield was 67%.

E. Immobilization of Lipase MY on Hexanediamine - Cellulose Beads with Glutaraldehyde . Lipase MY 0.25g, hexanediamine-cellulose beads 1g and glutaraldehyde (50%) 500ul were shaken in 5ml of PBS at room temperature for 20 hrs. The immobilized enzyme was collected, washed and assayed with HPPA-Me. The immobilization yield was 54%.

F. Immobilization of Lipase MY on Polyethyleneimine (PEI)- Cellulose Beads with glutaraldehyde . Preparation of the PEI-cellulose beads consisted of mixing dehydrated cellulose beads 10g, PEI (50%) 3g, cyanuric chloride 2g and triethylamine, 2.5ml, in 20ml of acetonitrile at 40° C for 15 hrs. The beads were then collected and washed with 200ml each of 0.01 N HCl, methanol, 0.05 N NaOH, methanol and deionized water. Lipase MY (0.25g) was immobilized by shaking PEI-cellulose beads 2g and glutaraldehyde (50%) 500ul in 10ml of PBS at room temperature for 24 hrs. The immobilized enzyme was collected, washed and assayed with HPPA-Me. The immobilization yield was 71%.

G. Immobilization of Lipase MY on PEI-Cellulose Beads with Carbodiimide . Lipase MY 0.25g, PEI-cellulose beads (from F above) 1g and carbodiimide 0.25g were shaken in 10ml of PBS at 4° C for 65 hrs. The immobilized enzyme was collected, washed and assayed with HPPA-Me. The immobilization yield was 34%.

H. Immobilization of Lipase MY on PEI-Cellulose Beads (from F above) with Toluene-2,4 Diisocyanate . Lipase MY 0.25g, PEI-cellulose beads 1g and toluene 2,4-diisocyanate (10mg) were shaken in 5ml of PBS at RT for 20 hrs. The immobilized enzyme was collected, washed and assayed with HPPA-Me. The immobilization yield was 4.4%.

Example 8

This example illustrates the effect of reducing agents towards stabilizing lipase in the presence of HPPA.

The asymmetric hydrolysis of HPPA-Me was conducted in the biphasic aqueous-organic system used in Example 5. The ester was predominantly in the organic phase while the enzyme and the acid were predominantly in the aqueous phase.

The effect of sodium hydrosulfite (Table 3), sodium sulfite (Table 4), cystine (Table 5) and various reducing agents (Table 6) was evaluated. Sodium hydrosulfite was effective at preventing loss of enzyme activity. Neither sodium sulfite nor cystine was effective at preventing loss of enzyme activity.

Lipase MY 5.0g was immobilized on 10g of Amberlite DP-1 with 2.5g of XAMA-7. The immobilized enzyme 0.89g (eq. 150 mg crude enzyme) was shaken with 2.5 ml of 1M HPPA (phosphate, pH 6.5) containing 2, 4, 8 and 16 mg/ml of reducing reagents for 4 days. Cystine, sodium hypophosphite, tetrabutylammonium borohydride, tetrabutylammonium cyanoborohydride, sodium sulfite and sodium hydrosulfite were tested. The immobilized enzyme was then washed with deionized water and assayed with

HPPA-Me. The untreated immobilized enzyme was used as a control to calculate the residual activity of the HPPA treated enzyme. The HPPA treated lipase retained 70% of the activity when no reducing reagents were employed.

Table 3

			Na <sub>2</sub> S <sub>2</sub> O <sub>4</sub> (mg)					
Batch #	Reaction Time (hrs)	HPPA-Me Conc. (mMole)	0	2.5	5	10	25	50
Conversion (%) of HPPA-Me to HPPA								
1	15	10	33	37	36	30	25	24
3	15	10	25	29	29	21	21	17
7	15	10	20	30	27	25	25	25
11	15	10	13	21	24	31	34	33
14	15	10	19	21	22	21	23	23
18	15	10	18	16	17	19	29	33
22	15	10	12	14	13	13	18	23
30	15	10	13	17	17	17	31	38
(1) Lipase MY 180 mg was immobilized on 2 ml of IRC-84 with 90 mg of XAMA-7 by the procedure in Example 7A.								
(2) HPPA-Me/Toluene = 1 M/10 ml, pH 7 phosphate buffer 0.25 M x 20 ml.								

Table 4

			Na <sub>2</sub> SO <sub>3</sub> (mg)					
Batch #	Reaction Time (hrs)	HPPA-Me Conc. (mMole)	0	2.5	5	10	25	50
Conversion (%) of HPPA-Me to HPPA								
33	15	10	28	39	20	26	32	25
7	15	10	13	17	14	20	17	25
9	15	10	13	14	11	16	13	18
12	15	10	14	16	12	14	12	18
14	15	10	13	13	9	13	10	16
18	15	10	16	14	10	14	12	12
19	15	10	13	13	11	15	12	13
20	15	10	14	14	10	14	11	14
(1) Lipase MY 200 mg was immobilized on 2 ml of IRC-84 with 100 mg of XAMA-7 by the procedure in Example 7 part A.								
(2) HPPA-Me/Toluene = 1 M/10 ml, pH 7 phosphate buffer 0.25 M x 20 ml.								

Table 5

Batch #	Reaction Time (hrs)	HPPA-Me Conc. (mMole)	Cystine (mg)				
			0	2.5	5	10	25
1	15	10	36	30	34	35	36
5	15	10	27	-	31	28	26
9	15	10	14	19	11	16	16
15	15	10	17	19	17	16	17
16	15	10	19	17	16	15	13
20	15	10	19	16	16	17	16
21	15	10	15	20	18	15	17
22	15	10	17	20	17	17	17
(1) Lipase MY 240 mg was immobilized on 2 ml of IRC-84 with 120 mg XAMA-7 by the procedure given in Example 7 part A. (2) HPPA-Me/Toluene = 1 M/10 ml, pH 7 phosphate buffer 0.25 M x 20ml.							

Table 6

	Conc. (mg/ml)	Relative Activity (%)
cystine	2	83
	4	76
	8	81
	16	83
NaH <sub>2</sub> PO <sub>2</sub>	2	84
	4	81
	8	91
	16	91
Bu <sub>4</sub> N CNBH <sub>3</sub>	2	83
	4	81
	8	88
	16	85
Bu <sub>4</sub> N BH <sub>4</sub>	2	-
	4	-
	8	78
	16	79
Na <sub>2</sub> SO <sub>3</sub>	2	95
	4	101
	8	110
	16	111
Na <sub>2</sub> S <sub>2</sub> O <sub>4</sub>	2	-
	4	-
	8	111
	16	112
Control Enzyme at 100%		
HPPA Treated Enzyme at 70.4%		

### Example 9

This example illustrates the increased enantioselectivity of immobilized lipase MY obtained with increased usage.

Twenty grams of crude lipase MY (Meito Sangyo Ltd) were combined with 20ml of 25mM ammonium acetate buffer at pH 6.5 and stirred for 10 minutes. Ten grams of XAMA-7 polyaziridine pre-polymer (Cordova Chemical Co) were then slowly added and the mixture stirred for 1 minute. Forty grams of Amberlite DP-1 ion exchange resin (Rohm-Haas) were added to the mixture, with constant stirring, over a period of 1 minute. The mixture was allowed to stand for 12 hours at 4 °C to complete the polymerization. The resulting free flowing beads comprising Amberlite coated with polyaziridine carrying the immobilized lipase were washed with 5 volumes of 25mM ammonium acetate buffer at pH 6.5. One hundred sixty milliliters of immobilized lipase MY catalyst were recovered.

A series of batch reactions were performed, using this immobilized catalyst. Each batch consisted of 2 moles of R,S-methyl 2-(4-hydroxyphenoxy) propionate (HPPA-Me), 14 moles of toluene, 214 moles of deionized (DI) water, 2 moles of ammonium acetate, and 0.017 moles of sodium dithionite. The pH was adjusted to 6.5 using phosphoric acid. The reaction mixture was stirred for 12 hours to ensure complete solubilization of the HPPA-Me before addition of the immobilized lipase MY.

The immobilized lipase MY was added to the substrate and stirring was continued until the hydrolysis had reached at least 0.8 moles of HPPA acid produced. Samples were taken periodically from the aqueous phase and analyzed as in Example 3. When the conversion of HPPA-Me to HPPA reached 40% (800 mMoles HPPA produced) the enzyme beads were removed by filtration and introduced into a new batch of substrate.

The analytical results at the termination of the reaction are given below for eight separate batches run successively using the same immobilized lipase MY.

Table 7

Batch #	Hydrolysis Time (h)	Total (M) Hydrolysis	% R-HPPA	% S-HPPA
1	120	1	82	18
2	130	1	90	10
3	140	1	91	9
4	90	0.88	98	2
5	95	0.80	98	2
6	140	0.8	99	2
7	100	0.8	100	0
8	100	0.8	100	0

As evident from above, the stereoselectivity of the immobilized lipase MY increased steadily with usage over the eight batches while the activity of the immobilized lipase MY (% hydrolysis) decreased somewhat. Thus, in the first batch hydrolysis of HPPA-Me to HPPA resulted in the production of 82% R-HPPA and 18% S-HPPA, whereas in both the seventh and eighth batch hydrolysis, the conversion was 100% R-HPPA and 0% S-HPPA. While the activity slightly decreased, this is not a significant disadvantage. The important factor is the increased stereospecificity since an industrial process normally requires greater than 95% ee.

### Example 10

This examples describes the isoelectric focusing of Candida rugosa lipase to determine the compositional profiles.

Samples of commercially available C. rugosa lipase from Meito Sangyo, Biocatalyst Ltd, and Amano were examined by isoelectric focusing (IEF) to determine compositional profiles. A protein separation based on net charge was carried out using IEF on a Phast system (Pharmacia LKB) using pre-made IEF gels, pl's

3-9. Enzyme preparations were dissolved in H<sub>2</sub>O and dialyzed 3 hours prior to IEF. Protein 0.1 $\mu$ g - 2.0 $\mu$ g/lane was applied to the gel and run for 590 volt-hr at 15°C. The gels were fixed in 10% trichloroacetic acid and then the protein was stained with silver using a Pharmacia development chamber. The pI's of enzymes were determined by comparison with standards having known pI's.

Lipase MY (Meito Sangyo) and lipase AY (Amano) exhibited very similar profiles (Fig 2). Each had 4 major protein bands, one with a pI of 5.5, two with pI's near 4.0 and one with a pI near 3.5. Lipase OF (Meito Sangyo) and the *Candida rugosa* lipase (Biocatalyst) had a different profile with 4-5 protein bands with pI's near 4.0. These preparations lacked the enzyme band at a pI of approximately 5.5.

#### Example 11

This example describes the fractionation of lipase MY from *Candida rugosa* (SP-Trisacryl Chromatography) into two isozyme fractions.

One gram of lipase MY (Meito Sangyo) was dissolved in 60ml H<sub>2</sub>O and dialyzed against 2 liters of 0.025M NaH<sub>2</sub>PO<sub>4</sub>, pH 3.3 for 16 hours. The insoluble material was removed by centrifugation and the pH was readjusted to 3.3. A 2.5cm X 20cm column of SP-Trisacryl (IBF Biotechnics) ion exchanger was prepared and equilibrated with 0.025M NaH<sub>2</sub>PO<sub>4</sub>, pH 3.3 (starting buffer). The soluble lipase was applied to the column and unbound material was eluted from the resin with the starting buffer. A separation of the lipase fraction (isozymes) was accomplished with a stepwise pH gradient using a 0.007M citrate-0.015M sodium phosphate buffer. The elution was carried out using the above citrate-phosphate buffer at pH 4.75 to elute the enzyme activity, CSC-2, with continued washing until the A<sub>280</sub> returned to baseline. The lipase fraction, CSC-1, was then eluted with 150ml of citrate-phosphate buffer pH 6.80. The protein elution profile is shown in Fig. 3. All protein containing fractions were run on IEF (Fig. 4). CSC-1 has a pI of approximately 5.5 wherein CSC-2 had a pI of approximately 4.4.

#### Example 12

This example describes the preparation of stereospecific lipase from *Candida rugosa* using SP-Sephadex chromatography.

Lipase MY (Meito Sangyo) 1.3 gm in 60 ml of deionized water was dialyzed against 2 liters of 7mM citrate - 15mM Na<sub>2</sub>HPO<sub>4</sub> pH 3.3. The pH of the crude enzyme solution was adjusted to pH 3.3 prior to chromatography. The protein was loaded on a 2.5 X 20 cm SP - Sephadex column equilibrated in the above citrate - Na<sub>2</sub>HPO<sub>4</sub> buffer at 60 ml/hr at 25°C. The column was washed with 120 ml of the same buffer. A stepwise elution was done with buffers of increasing pH. First, the column was eluted with 100 ml of 7mM citrate - 15mM Na<sub>2</sub>HPO<sub>4</sub> pH 4.7 to provide lipase CSC-2 (Fig. 5). Then, the column was eluted with 100 ml of 7 mM citrate - 15mM Na<sub>2</sub>HPO<sub>4</sub> pH 6.8. This buffer eluted a fraction containing the enzyme fraction designated CSC-1.

Based on isoelectric focusing analysis on an IEF 3-9 gel, each of these column fractions contained a single major protein band. The band eluted with pH 4.7 buffer has a pI of 4.4 and is designated CSC-2. The band eluted at pH 6.8 has a pI of 5.2 and is designated CSC-1.

#### Example 13

Amino acid composition and sequence analysis of the isozymes CSC-1 and CSC-2 as obtained from the lipase of *Candida rugosa*.

The purification procedure for CSC-1 and CSC-2 entails an initial solubilization of the Lipase MY at 50 g/Liter in 25 mM dibasic sodium phosphate (final pH of 6.5). After 2-3 hours of stirring at 4°C, the sample was centrifuged (10,000 rpm, 10 minutes) and the pellet discarded. The pH of the supernatant was lowered to 3-3.2 with phosphoric acid, and the supernatant was dialyzed in a hollow fiber device or desalted on a Trisacryl GF-05 column equilibrated in 25 mM sodium phosphate monobasic, pH 3.2. The sample was then loaded onto an SP-Trisacryl column equilibrated at pH 3.2. The column was then washed (25 mM, pH 3.2) and eluted sequentially with pH 4.8 and pH 6.8 citrate/sodium phosphate buffer (McIlvaine buffers, approximately 25 mM). All buffers contained 0.02% sodium azide. The above buffer systems could be replaced with 25 mM sodium acetate, with pH adjustment using acetic acid. It was also possible to perform a buffer and pH exchange on a Trisacryl GF05 column. This column could also be run in distilled water.



The predominant bands of the enzymes were found to be localized on SDS-PAGE Phast gels at 55,000-60,000 MW. This band was rather diffuse, and was better characterized using isoelectric focusing (IEF). IEF gels run on the Phast system (pH range 3-9) revealed that the enzymes in the pH 4.8 (first) elution peak (CSC-2) had a pI of approximately 4.4, while those of CSC-1 had a more basic pI (approximately 5.2). These bands were visualized as being at the top (acidic end) of the gel (CSC-2) or near the middle (CSC-1). IEF gels were run on a standard Phast system protocol using a maximum of 2000 volts, 3.5 watts, and a total of 500 volt-hours.

The samples of CSC-1 and CSC-2 were further purified by hollow fiber concentration (CD Laboratories) and/or by a second chromatography on SP-Trisacryl in the case of CSC-2. CSC-1 prepared in this fashion consisted of one major band on IEF gels. CSC-2 contained as many as 4 or more bands, as evidenced by all the closely spaced acidic bands in lipase MY. The sample of CSC-2 used contained one predominant band. The gel photo (Fig. 6) represents a pH 3-9 IEF Phast gel which was fixed in 20% trichloroacetic acid and silver stained. All samples were diluted in distilled water and loaded onto the gel at 1 microliter per lane. Lanes 1 and 8 were IEF pI standards. These protein standards and their isoelectric points were amyloglucosidase (3.5), methyl red dye (3.75), soybean trypsin inhibitor (4.55), beta lactoglobulin A (5.2), bovine carbonic anhydrase B (5.85), human carbonic anhydrase B (6.55), horse myoglobin (6.85 and 7.35), lentil lectin (8.15, 8.45, and 8.65) and trypsinogen (9.3). Lanes 2 and 3 were samples of Lipase MY (no desalting/purification). Lanes 4 and 5 were of CSC-1, and lanes 6 and 7 were of CSC-2. Since the extinction coefficients of the proteins in the samples were not known, and the absorbance at 280 nm was artificially high due to interfering chromophores, the protein content was estimated by adding 100 ul of the protein solutions to 3 ml of Pierce reagent, and the OD at 595 nm was determined after 10 minutes (spectrophotometer blanked with reagent). In this fashion, 50 mg of lipase MY in 1 ml gave an OD 595 of 0.4 (~400 ug/ml, or ~1.8% protein). 1.2 mg of CSC-2 in 1 ml water gave an OD 595 of 0.34 (~340 ug/ml, or ~28% protein).

The amino acid composition of CSC-1 and CSC-2 are shown in Table 8.

Table 8

Amino Acid Analysis of CSC-1 and CSC-2		
Amino Acid	% Composition	
	CSC-1	CSC-2
Alanine	9.57	8.05
Arginine	3.62	3.5
Aspartic acid/Asparagine	11.29	12.8
Cystine/2	N.D.	N.D.
Glutamic acid/Glutamine	10.76	10.6
Glycine	9.7	10.3
Histidine	1.78	1.39
Isoleucine	4.6	4.46
Leucine	7.9	8.3
Lysine	4.5	4.38
Methionine	1.58	1.99
Phenylalanine	4.3	5.0
Proline	3.0	6.59
Serine	10.9	7.9
Threonine	7.0	6.2
Tryptophan	N.D.	N.D.
Tyrosine	3.49	3.38
Valine	5.79	4.9
*20-hour 6N-HCl/0.05% mercaptoethanol hydrolysis @ 115 ° C; one crystal of phenol was added before acid hydrolysis. Serine was increased by 10% and threonine was increased by 5% to compensate for destruction by acid. N.D. not determined.		

A sample of CSC-1 was further purified by a C4 reversed phase column and a solvent system of water trifluoroacetic acid .07%, acetonitrile trifluoroacetic acid 0.7%. In the system the elution of proteins was obtained by gradient from 20-50% acetonitrile. CSC-2 was similarly resolved by a gradient of 45-75% acetonitrile in the same conditions as those given for CSC-1. CSC-1 and CSC-2 were then separated by electrophoresis, transferred to a PVDF filter (Millipore) and the band migrating at 60,000 daltons was sequenced. Position 10 in CSC-1 could not be assigned with certainty although it may be a tryptophan, which is difficult to identify under the sequencing conditions, possibly due to incomplete carboxymethylation.

The compositions of the novel isozymes, CSC-1 and CSC-2, are illustrated by their N-terminal amino acid sequences as follows:

	<u><b>CSC-1</b></u>		
15	1	5	10
	Ala-Pro-Thr-Ala-Lys-Leu-Ala-Asn-Gly-(?)		
	11	15	20
	Thr-Ile-Thr-Gly-Leu-Asn-Ala-Ile-Ile-Asn		
20	21	25	30
	Glu-Ala-Phe-Leu-Gly-Ile-Pro-Phe-Ala-Glu		
	31	35	
	Pro-Pro-Val-Gly-Asn-		
25	<u><b>CSC-2</b></u>		
	1	5	10
	Ala-Pro-Thr-Ala-Thr-Leu-Ala-Asn-Gly-Asp-		
	11	15	20
	Thr-Ile-Thr-Gly-Leu-Asn-Ala-Ile-Ile-Asn-		
30	21	25	30
	Glu-Ala-Phe-Leu-Gly-Ile-X-X-Ala-Glu		
	31	35	39
	Pro-Pro-X-X-Asn-Leu-Phe-Ile-Leu		

A large sequence homology was seen in CSC-1 and CSC-2 since these enzymes differ only at position 5 and 10 insofar as their sequence is currently known.

It is also noted that there is a large sequence homology of CSC-1 and the acetyl cholinesterase (AcEs) of Torpedo californica as shown hereinafter:



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Hydrolysis of HPPA-ethyl ester with free lipase CSC-1.

25ml of R,S HPPA-ethyl ester (20mM) in 50mM Na<sub>2</sub>HPO<sub>4</sub> pH 6.5 was hydrolyzed at 25 °C with 1 mg of the enzyme, CSC-1. After 1 hour of reaction, a sample was removed and analyzed by high pressure liquid chromatography, to determine the concentration of the R and S acids. A Resolvosil-BSA-7 (Macherey-Nagel) analytical column (150 X 4mm) was used with 10mM KH<sub>2</sub>PO<sub>4</sub> (pH 5.5), 5% n-propanol as the mobile phase at 2.0ml/min. At 1 hour, a 30% hydrolysis of the racemic ester had occurred and the enantiomeric purity of the acid produced was 98% R-acid and 2% S-acid.

#### 10 Example 17

Comparison of CSC-1 and CSC-2 in the hydrolysis of HPPA-methyl ester.

Twenty five mls of 25 mM HPPA-Me/100mM KH<sub>2</sub>PO<sub>4</sub> (pH 6.5) buffer were placed into each of two 100ml Erlenmeyer flasks. CSC-1 (50ul fraction as eluted from the SP-Trisacryl column, Example 11) was placed in a flask and CSC-2 (50ul, fraction from SP-Trisacryl column, Example 11) was placed in the other flask. The reactions were sampled as noted below and analyzed as in Example 3 with the following results.

Table 9

Time (Hr)	CSC-1		CSC-2	
	% Conversion	%R-HPPA	% Conversion	%R-HPPA
1	4	100	5	97
2	11	100	7	96
3	17	100	8	97
5	25	99	13	96
22	52	97	40	93
30	-	-	49	91

As indicated, the rate of conversion of racemic HPPA methyl ester was substantially higher for CSC-1. The enantiomeric purity of the product obtained with CSC-1 was also superior compared to that obtained with CSC-2 although both gave useful results.

#### Example 18

This example compares the results obtained by hydrolyzing ketoprofen esters using CSC-2 and crude lipase MY.

To a solution of 9ml of 100mM ammonium phosphate (pH 5.5) in 50 ml Erlenmeyer flask a weighed amount of ester (either directly or dissolved in 200 ul DMF) was added. A solution of the weighed amount of enzyme in 1 ml of the same buffer was added. The mixture was agitated in a rotary shaker at 250 RPM at 35 °C typically for 22 hours. The reaction mixture was acidified with 8 drops 6(N) HCl to pH 1.5-2.0, extracted with CHCl<sub>3</sub> (2 X 10 ml). The CHCl<sub>3</sub> solution was analyzed by HPLC to determine the percent of acid produced in the hydrolysis.

The CHCl<sub>3</sub> extract (5 ml) of the hydrolysis reaction was dried over anhydrous Na<sub>2</sub>SO<sub>4</sub> and filtered. The filtrate was cooled to 0 °C in stoppered vials and 100 ul of N-methylmorpholine, followed by 100 ul of isobutyl chloroformate were added. After gently shaking at 0 °C for 10 minutes, 100 ul of D-(+)-1-phenylethylamine was added and gentle shaking at 0 °C was continued for 5 minutes. The reaction mixture was then shaken at room temperature for 5 minutes. The reaction mixture was washed with water (5 ml), 1-(N) HCl (5 ml) and again with water (5 ml). The diastereomeric amides produced were then analyzed by HPLC on a column as specifically noted to determine the ee (%S-%R) of the acid produced by enzymatic hydrolysis of the esters.

Ketoprofen esters (50 mg) were hydrolyzed as above. HPLC analysis for the amount of acid produced for the ketoprofen monoglyceride and ketoprofen ethylene glycol ester hydrolysis were performed on Partisil 5 ODS-3 RAC column (9.4 mm X 100 mm, Whatman) using CH<sub>3</sub>OH: 10mM (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub> (60:40) at 4

ml/min and monitoring at 254 nm. HPLC analysis of the acid produced in the hydrolysis of the other esters were done on ODS-3 RAC column using CH<sub>3</sub>OH: 10 mM (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub> (80:20) at 2ml/min and monitoring at 254 nm. The enantiomeric excess of the ketoprofen produced for each hydrolysis was determined by analysis of the amides by HPLC on ODS-3 RAC column using CH<sub>3</sub>OH:10 mM (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub> (60:40) at 4 ml/min and monitoring at 254 nm. The results are tabulated below:

Table 10

Results of Hydrolysis of Various Esters of Ketoprofen with CSC-2 and Comparison of the Results with Crude Lipase MY				
Ester	Enzyme	Reaction in	Rate umole/h/mg	ee of S-acid (%)
methyl	Lipase MY	Buffer	0.002	36
	CSC-2	Buffer	0.222	96
n-butyl	Lipase MY	Buffer	0.005	88
	CSC-2	Buffer	0.519	>99
	CSC-2	Two phase*	0.314	>99
n-octyl	Lipase MY	Buffer	0.004	94
	CSC-2	Buffer	0.192	ND
	CSC-2	Two phase*	0.069	ND
2-chloroethyl	Lipase MY	Buffer	0.014	32
	CSC-2	Buffer	1.405	98
	CSC-2	Two phase*	2.735	98
2,2,2-trifluoroethyl	Lipase MY	Buffer	0.023	15
	CSC-2	Buffer	2.931	78
	CSC-2	Two phase*	1.855	81
monoglyceryl	Lipase MY	Buffer	0.034	77
	CSC-2	Buffer	2.079	98
	CSC-2	Two phase*	3.815	ND

\* Isooctane -100 mM (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub> (pH 5.5) (1 : 1) two phase system.

ND = Not Determined

As will be evident, much better ee values and rates of hydrolysis were obtained using CSC-2 when compared with lipase MY in the hydrolysis of esters of ketoprofen.

This example also shows useful results (for example, high rate and ee) using a two-phase system which includes isooctane.

#### Example 19

This example compares the results obtained in the hydrolysis of ketoprofen monoglyceride using crude lipase MY, CSC-1 and CSC-2.

To a solution of 9 ml of 100 mM ammonium phosphate buffer (pH 5.5) 50 mg of ketoprofen monoglyceride either as such or in 200ul DMF was added followed by a solution of enzymes in 1 ml of the same buffer. After shaking at 250 rpm at 35 °C for 22 hours, the reaction mixtures were acidified and extracted with chloroform (2 X 10 ml) and analyzed by HPLC to determine the amount of acid produced and analyzed as in Example 18. The results are given below:

Table 11

Results of Hydrolysis of Ketoprofen monoglyceride						
DMF	Enzyme	Percent of Acid	Percent of Acid from Hydrol	Rate	EE of S-acid (%)	Calc. EE of S-acid (%)*
200 ul	No Enzyme	0.3				
0 ul	No enzyme	0.4				
200 ul	Lipase MY (50mg)	28.3	28.0	0.039	75.2	76.0
0 ul	Lipase MY (50mg)	27.2	26.8	0.037	68.0	69.0
200 ul	CSC-2 (1mg)	21.3	21.0	1.455	95.4	96.6
0 ul	CSC-2 (1mg)	27.7	27.3	1.891	96.4	97.8
200 ul	CSC-1 (1mg)	3.6	3.3	0.229	74.6	81.0
0 ul	CSC-1 (1mg)	4.5	4.1	0.284	84.6	92.6

\*Calculated by subtracting the R- and S-acid present in the starting material as impurity

These results indicate that CSC-2 is preferred for use with ketoprofen monoglyceride because of its substantially higher hydrolysis rate and better enantiomeric excess (ee).

#### Example 20

Effect of dimethylformamide (DMF) on hydrolysis.

Hydrolysis of ketoprofen-monoglyceride (50mg) was carried out in various DMF-100mM (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub> buffer (pH 5.5) mixtures. In each flask a solution of ketoprofen monoglyceride (50mg) in DMF (200ul) was added. DMF was added followed by 100mM (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub> buffer. A solution of 1mg purified CSC-2 in 0.5ml buffer was added. After 22 hours, 2%, 5%, and 10% DMF samples were acidified, extracted with CHCl<sub>3</sub> (2 X 10ml) and analyzed. The other samples were analyzed directly as in Example 18. The acid produced decreases with increasing amount of DMF.

% DMF	% acid
2	23
5	18
10	11
20	3
30	0.7
40	0.3
50	0.4

#### Example 21

Large scale hydrolysis of ketoprofen monoglyceride.

To a solution of 100 mg CSC-2 in 500 ml of 100 mM ammonium phosphate buffer (pH 5.5) in a 2.8 L Fernbach flask, a solution of 10 g of ketoprofen monoglyceride in 10 ml DMF was added. After shaking in a rotary shaker at 300 rpm at 35° C for 166 hours, the reaction mixture was adjusted to pH 10 with 1 M NaOH and extracted with ethyl acetate (3 X 500 ml) to remove the neutral fraction (containing the ketoprofen ester). The aqueous layer (containing ketoprofen acid) was acidified to pH 1.5 with 6 N HCl and extracted with ethyl acetate (3 X 500 ml). Removal of solvents from the ester and acid afforded 6.55 g (65.5%) of crude ester and 2.32 g (30%) of crude acid respectively. The crude acid was crystallized from aqueous ethanol to provide 1.69 g (21.8%) of ketoprofen as white powder. The acid was found by HPLC of the amides as in Example 18, to have 91.2% enantiomeric excess for the S-acid.

Example 22

The effect of DMF and surfactants on the hydrolysis of ketoprofen monoglyceride (KPG) to ketoprofen (KP) using CSC-2 is shown in this example. The surfactants used were Tween 80 and Span 85.

Small amounts of DMF were added to the reaction mixture to increase the solubility of KPG. In all cases 100mM ammonium phosphate buffer (pH 5.5) was used and 2% (v/v) detergents were added and the total volume was 10ml. The KPG was either added directly or dissolved in 200ul DMF. In all cases the enzyme to substrate ratio was 1:50. The results obtained by analysis as in Example 18 are given below.

Table 12

Effect of DMF on the hydrolysis of KPG in presence of detergents							
KPG	DMF	Tween-80 in buffer			Span-85 in buffer		
(mg)	(ul)	Rate	Rel. Rate	%ee	Rate	Rel. Rate	% ee
50	200	1.63	100 <sup>1</sup>	96	1.37	100 <sup>2</sup>	93
100	200	0.64	40	92	2.39	175	96
200	200	0.28	17	86	1.47	107	95
50	0	2.10	129	97	3.69	269	97
100	0	1.62	99	96	2.97	216	97
200	0	1.04	64	93	1.70	124	95

<sup>1</sup> = Assigned 100 as standard for all reaction in Tween-80

<sup>2</sup> = Assigned 100 as standard for all reaction in Span-85

The results obtained indicate the detrimental effect of DMF on rate and ee. The use of surfactants give good rate and ee.

Example 23

Hydrolysis of ketoprofen monoglyceride in aqueous-organic two phase system.

Ketoprofen monoglyceride (10.9 mg) in DMF (50  $\mu$ l) was added to various organic solvents (2 ml each) and a solution of CSC-2 (0.4 mg) in 100 mM ammonium phosphate buffer (pH 5.5, 2 ml) was added to each. The buffer reaction (entry #2 in the table) contained enzyme in buffer (2 ml) and the buffer only (entry #1 in the table) reaction contained no enzyme.

After shaking at 150 rpm, the reaction mixtures were analyzed by standard methods as in Example 18. The results are given in Table 13.



Table 13

Hydrolysis of ketoprofen monoglyceride by CSC-2 in aqueous-organic two phase systems			
No	Solvent	Relative Rate	EE of S-acid(%)
1	Buffer only (No enzyme)*		
2	Buffer	100	96
3	Hexane	80	93
4	Isooctane	214	96
5	Cyclohexane	185	95
6	Cyclohexene	146	89
7	Cyclooctene	387	95
8	Toluene	15	58
9	Xylenes	44	81
10	Ethylbenzene	18	48
11	Cumene	91	86
12	1,2,3,4-Tetrahydronaphthalene	136	85
13	Carbon tetrachloride	48	95
14	Chloroform \$	0	
15	Dichloromethane \$	0	
16	1,1,1-Trichloroethane	25	75
17	Ethylene dichloride \$	0	
18	1,1,2-Trichloroethane \$	0	
19	Tetrachloroethylene	3	27
20	1,1,2-Trichlorotrifluoroethane	123	99
21	Ethyl ether \$	0	
22	Isopropyl ether	53	69
23	n-Butyl ether	59	69
24	Cyclohexanone \$	0	
25	3-Pentanone \$	0	
26	n-Butanol \$	0	
27	n-Hexanol \$	0	
28	n-Octanol \$	0	
29	Benzyl alcohol \$	0	
30	Ethyl acetate \$	0	

\* All values in the table were corrected for this control  
 \$ 16 hour reactions, all others 26 hours

The following results show that certain low polarity solvents can be used to improve the rate of hydrolysis with minor effects on the enantiomeric excess.

#### Example 24

The immobilization of CSC-2 and its use in the hydrolysis of ketoprofen monoglyceride.

To 10 ml 100 mM ammonium phosphate (pH 5.5) a solution of 50 mg ketoprofen monoglyceride in 200  $\mu$ l DMF was added followed by CSC-2 (immobilized by different methods and containing the equivalent of 2 mg of CSC-2). After shaking at 250 rpm at 35°C, the reaction mixtures were acidified, extracted with chloroform and analyzed by HPLC as in Example 18. The results are given in Table 4. The methods of immobilization are as follows:

##### (1) 269-I

Lipase CSC-2 2mg was mixed with 0.3g of Amberlite DP-1 and 200 mg of XAMA-7 in 10ml of cyclooctene. The mixture was shaken at room temperature for 4 hours before the cyclooctene was evaporated. The immobilized enzyme was washed with DI water and stored at 4°C.

- (2) 269-II  
Same as 269-I, except 50mg of stearic acid was added.
- (3) 269-III  
Same as 269-I, except 50mg of hexadecylamine was added.
- 5 (4) 269-2A to 2G  
Same as 269-II. Amberlite DP-1 was pH adjusted as follows:  
2A pH 3.5  
2B pH 4.5  
2C pH 5.5  
10 2D pH 6.5  
2E pH 7.5  
2F pH 8.5  
2G pH 9.5
- (5) 269-5A  
15 Lipase CSC-2, 2mg, dissolved in 1ml of phosphate buffer (0.01M, pH 6.5) was shaken with the sodium periodate (5%) activated cellulose beads (0.5g) at room temperature. The immobilized enzyme was washed with deionized water and stored at 4 ° C.
- (6) 269-5B  
Lipase CSC-2, 2mg, dissolved in 1ml of phosphate buffer (0.01 M, pH 6.9) was shaken with 0.58g of Affi-  
20 Prep 10 at room temperature for 24 hrs. The immobilized enzyme was washed and stored at 4 ° C.
- (7) 269-7F  
Amberlite DP-1 resin was equilibrated at pH 3.5, washed with acetone and dehydrated at 100 ° C. Lipase  
CSC-2, 2mg, in ml of phosphate buffer (0.01M, pH5.0) was mixed with 0.3g of the dehydrated resin and  
the mixture was lyophilized. The lyophilized enzyme was shaken in 5ml of cyclooctene containing stearic  
25 acid (50mg) and XAMA-7 (50mg) at room temperature and cyclooctene was allowed to evaporate  
overnight. The immobilized enzyme was washed and stored at 4 ° C. Cellulose beads were used to  
prepare 269-7G by the same procedure, Dowex 50w x 4 was for 269-7E and Amberlite A15 was for 269-  
7D.
- (8) 269-8A  
30 Same as 269-7F but with XAMA-2.  
269-BB  
Same as 269-7F.
- (9) 269-9A  
Lipase CSC-2, 2mg was shaken with 0.5 g of Na<sub>2</sub>O<sub>4</sub> activated cellulose beads in pH 5.0 buffer for 20  
35 hours. The enzyme was collected, washed and stored at 4 ° C.
- (10) 269-9B  
Same as 269-9A but at pH 7.0.
- (11) 269-10  
Lipase CSC-2, 25.1 mg Was mixed with 0.2g of CM-cellulose in 5 ml of cyclooctene containing 1.0 ml of  
40 10% stearic acid. The mixture was shaken for one hour before the addition of 2.0 ml of 10% XAMA-7 in  
the same solvent. The mixture was shaken at room temperature overnight and cyclooctene was  
evaporated. The immobilized enzyme was collected, washed and stored at 4 ° C.
- (12) 269-11A and 269-11D  
Lipase CSC-2, 10mg and glucose 150mg in 5ml of deionized Water were mixed with 1.25g of Amberlite  
45 DP-1 (pH 3.5) and the mixture was lyophilized. The lyophilized enzyme was shaken in 20ml of  
cyclooctene (269-11A) or 1,1,2-trichlorotrifluoroethane (269-11D) with 250mg of XAMA-2 and 500mg of  
stearic acid. This procedure was used to prepare 269-11B, 269-11C, 269-11E and 269-11F.
- (13) 269-12A  
Same as 269-9B but with 0.1% EDTA.
- 50 269-12B  
Same as 269-12A but at pH 8.5.
- (14) 269-13  
Same as 269-8A, but 110 mg of starch was added.
- (15) 269-14A  
Lipase CSC-2, 10mg, in 5ml of deionized water was mixed with 1g of dehydrated cellulose beads and  
55 the mixture was lyophilized The lyophilized enzyme was immobilized in 20ml of cyclooctene with 400mg  
of stearic acid and 200mg of XAMA-2. CM-cellulose beads were used to prepare 269-14B.
- (16) 279-SD1

To 1 g of silica (Spherosil, 400 LS, IBF) a solution of 10 mg of lipase CSC-2 in 4 ml of 100 mM ammonium phosphate buffer (pH 5.5) was added and the mixture was gently shaken for 22 hours. The solids were filtered off, washed with the same buffer (5 X 10 ml). The solution was found to possess no activity, all the activity was retained in the solid.

Table 14

Studies with immobilized CSC-2		
Code	Activity (%) of Free CSC-2	% ee of S-acid
Immobilization with DP-1		
269-I	1	ND
269-II	23	70
269-II	5	ND
269-2A to -2G	1-5	ND
269-7F	51	80
269-8A	9	ND
269-8B	38	70
269-11A	41	81
269-11D	22	81
269-13	4	ND
Immobilization with cellulose		
269-7G	59	87
269-11B	31	76
269-11E	7	ND
269-14A	48	87
Immobilization with CM-cellulose		
269-10	7	ND
269-11C	50	82
269-11F	40	82
269-14B	61	85
Immobilization with periodate activated cellulose		
269-5A	27	96
269-9A	10	92
269-9B	17	93
269-12A	7	ND
269-12B	7	ND
Immobilization with other supports		
269-5B	11	76
269-7D	1	ND
269-7E	6	ND
279-SD1	107	97
ND = Not determined		

Example 25

Immobilization of purified lipase isozyme CSC-1 on Eupergit C and its use in hydrolysis.

Eupergit C resin (Hoechst) 1gm, was washed with 20 volumes of 0.1M Na<sub>2</sub>HPO<sub>4</sub> pH 6.0. Six ml of the same buffer containing 3.0mg of purified lipase was added to the resin and allowed to react at 4° C with gentle mixing for 16 hours. This catalyst was then washed with 10 volumes of buffer pH 6.0. The catalyst was added to 100ml of 25mM HPPA-Me in 50mM Na<sub>2</sub>HPO<sub>4</sub> pH 6.5 at 25° C and gently shaken. The recovery of immobilized enzyme activity relative to soluble enzyme was 39%, based on HPLC assays of ester conversion to R and S acid. At 42% hydrolysis of the R-S ester the acid ratio was 97% R.

#### Example 26

This example describes the effect of organic acids on the immobilization of lipase MY.

Lipase MY (0.45g), dehydrated Amberlite DP-1 (0.9g) and XAMA-7 (pentaerythritol-tris-{beta-N-aziridinyl} propionate), (100mg), were mixed in 10ml of toluene containing one of the following saturated acids: propionic acid (30mg), butyric acid (30mg) valeric acid (30mg), hexanoic acid (30mg), heptanoic acid (30mg) octanoic acid (40mg), nonanoic acid (40mg) decanoic acid (40mg) undecanoic acid (40mg), lauric acid (40mg), tridecanoic acid (40mg), myristic acid (40mg) pentadecanoic acid (50mg), palmitic acid (50mg), heptadecanoic acid (50mg), stearic acid (50mg), docosanoic acid (30mg), eicosanoic acid (50mg) and tetracosanoic acid (50mg). The mixtures were shaken at room temperature and the toluene was then gently evaporated. The immobilized enzyme preparations were hydrated, washed with deionized water and assayed. As shown in Figure 8, an 18 carbon acid, stearic acid, gave superior immobilization yields.

#### Example 27

The effect of stearic acid on lipase activity.

Lipase MY 0.45g, dehydrated Amberlite DP-1, 0.9g, and XAMA-7, 100mg were mixed in 10ml of toluene containing 4.5, 11.25, 22.5, 45 and 90mg of stearic acid by the procedure in Example 24. The results are shown in Figure 9.

#### Example 28

Optimization of stearic acid addition for immobilization.

Lipase MY, 3.6g, in 200ml of sodium acetate buffer (1M, pH 3.5) was shaken with 27g of Amberlite DP-1, the pH was adjusted to 4.5 with acetic acid. The mixture was shaken at 4° C overnight and the beads were collected, washed with deionized water and lyophilized to give 8.1g of dried beads. The beads (1g) were then added to 10ml of toluene containing 100mg of XAMA-7 and 10, 25, or 50mg of stearic acid and gently mixed. The assay results obtained as in Example 24 are shown in Figure 10.

#### Example 29

Immobilization of CSC-1 with polyaziridine.

Five hundred mg of CSC-1 isozyme was combined with 0.5 grams of 75% hydrolyzed polyvinyl alcohol (3000 MW) and 230 ml of ice cold deionized water and was mixed for 15 minutes. To the mixture was added 100 grams of dry Amberlite DP-1 (Rohm-Haas) ion exchange resin at pH 7.0. The mixture was allowed to stand for 20 minutes at room temperature before the material was lyophilized. Upon completion of the lyophilization, a mixture of 140 ml of toluene and 3.5 grams of stearic acid was added to the beads and allowed to stand one hour. 40 ml of toluene and 7 grams XAMA-7 polyaziridine prepolymer were added to the mixture and a like amount added again one hour later. The mixture was covered and slowly shaken for a period of 4 hours at which time the toluene was slowly evaporated. The immobilized enzyme preparation was washed with two 250 ml aliquots of deionized water.

The activity of purified CSC-1 in a soluble form was determined to be 550 uMoles/hr/mg at 35% conversion of HPPA-Me to HPPA. The immobilized CSC-1 from this experiment was found to have an activity of 920 uMoles/hr/g immobilized beads at 37% conversion or 231 mMoles/hr for the total batch. The washing of the beads were found to have an activity of 32 mMoles/hr for all washings. On this basis, 84% of the enzyme activity was immobilized, 12% was found in the wash material, and 4% of the activity was unaccounted for.

Example 30

This example illustrates the effect of polyvinyl alcohol (PVA) on the immobilization of lipase CSC-1 and its activity.

Lipase CSC-1 5mg in 5ml of DI water was lyophilized with Amberlite DP-1 (0.3g) and 0, 10, 20, 30, 40 and 50mg of polyvinyl alcohol (MW 3,000, 75% hydrolyzed or MW 10,000, 18% hydrolyzed). The enzyme was then immobilized with 50mg of stearic acid and 50mg of XAMA-7 in 6ml of toluene. After the toluene was evaporated, the immobilized enzyme was assayed with HPPA-Me and the relative activity of the enzyme was calculated.

<u>PVA (MW</u> <u>3,000)</u>	<u>Relative</u> <u>Activity</u>
(mg)	(%)
0	100
10	149
20	145
30	143
40	136
50	153
<u>PVA (MW</u> <u>3,000)</u>	<u>Relative</u> <u>Activity</u>
(mg)	(%)
0	100
10	119
20	123
30	126
40	121
50	97

The above results show that PVA addition serves to increase the relative activity of lipase CSC-1.

Example 31

Immobilization of crude lipase MY.

One gram of lipase MY was combined with 25 ml of 25 mM  $\text{Na}_2\text{HPO}_4$ , pH 6.5 and mixed well for 20 minutes and then centrifuged. The supernatant was chilled to 10°C and was added to 13 milliliters of dry Amberlite DP-1 (pH 7.0). This material was then lyophilized. A mixture of 16 ml of toluene and 0.42g stearic acid was added, to the lyophilized material, and shaken for one hour. A mixture of 10 ml of toluene and 2.5g XAMA-7 prepolymer was then added over a period of one hour. The mixture was covered and allowed to shake for four hours after which the toluene was gently evaporated. The beads were washed twice with 100ml aliquots of deionized water. A comparison of the hydrolytic activity on HPPA-Me of lipase MY and immobilized lipase MY showed the activity of lipase MY was 4700  $\mu\text{Moles/hr/g}$  at 17% conversion of HPPA-Me to HPPA. Immobilized lipase MY had an activity of 2160  $\mu\text{Moles/hr/batch}$  at 16% conversion. The washings had an estimated activity of 175  $\mu\text{M/hr/batch}$ . Thus, 50% of enzyme activity remained, of the original activity, 46% was immobilized and 4% was in the wash.

Example 32

Isolation of R- 2-(4-hydroxyphenoxy) propionic acid.

RS-HPPA-methyl ester (2 moles; 392 gm) was dissolved in 1500ml of toluene. Three liters of 0.58M ammonium acetate pH 6.5 and 3 g  $\text{Na}_2\text{S}_2\text{O}_4$ , was added to the toluene. Immobilized lipase MY (160 ml, as prepared in Example 9), was added to the two-phase substrate mixture above and allowed to react with

agitation at 25° C for 120 hours. At this time, 40.8% of the ester was hydrolyzed. The aqueous phase was separated from the organic layer and adjusted to pH 7.0. This phase was extracted 4 times with 0.25 volumes of methylene chloride to remove the ester. The aqueous phase was adjusted to pH 2.5 and extracted 4 times with 0.25 volumes of ethyl acetate. Solid MgSO<sub>4</sub> (anhydrous) was added to the ethyl acetate to remove the water, and the organic layer was filtered. Ethyl acetate was removed under reduced pressure at 50° C leaving solid R-2-(4-hydroxyphenoxy) propionic acid. The acid contained 95% R-acid and 5% S-acid.

### 10 Example 33

This example demonstrates the improved lifetime of immobilized CSC-1 catalyst.

Immobilized CSC-1 (19 ml) as prepared in Example 29, was placed into a 1 cm X 24 cm chromatography column. This material was then washed with 50 column volumes of deionized water to remove any unbound protein. 25mM HPPA-Me substrate was prepared by combining 34.8g K<sub>2</sub>HPO<sub>4</sub>, 4 liters deionized water, and 4g sodium dithionite, adjusting the pH to 6.5 using phosphoric acid and then adding 19.6 grams R,S-HPPA-Me. This solution was passed over the enzyme at 0.75 ml/min (2.4 column volumes/hr). Samples were analyzed daily to determine the enzymatic activity of the catalyst.

As shown in Figure 11 the column was run for a period of 40 days with no apparent loss of catalyst activity.

### Example 34

25 Preparation of lipase from *Candida rugosa* by QA-Trisacryl M chromatography.

As an alternative method of isolating CSC I lipase, 2.1 gm of Lipase MY (Meito Sangyo) was dissolved in 32 ml of DI water and dialyzed against 25 mM Na<sub>2</sub>HPO<sub>4</sub>, pH 6.3. Solids were removed by centrifugation. The protein was applied to a QA-Trisacryl M (IBF) column, 2.5 x 4.0 cm equilibrated in 25 mM histidine - HCl pH 6.0 at 62 ml/hour. The column was washed with the same buffer until absorbance at 280 nm reached baseline. A large unbound A<sub>280</sub> peak was recovered containing lipase CSC I and 4-5 minor protein components, based on IEF pH 3-9 analysis using a Pharmacia Phast gel system.

Protein bound to the QA-Trisacryl M column was eluted using a 200 ml 0-0.3 M NaCl gradient in 25 mM histidine-HCl pH 6.0.

A representative fraction of CSC I was assayed for stereoselective hydrolysis of R, S-HPPA- methyl ester as described in Example 3. At 41% conversion, the product was 98% R-acid.

### Example 35

40 Hydrolysis of ibuprofen methyl ester.

Ibuprofen methyl ester (200 mg) was subjected to enzymatic hydrolysis as in Example 18 and the amount of acid produced was determined by HPLC on ODS-3 RAC column using CH<sub>3</sub>OH: 10mM (NH<sub>4</sub>)-H<sub>2</sub>PO<sub>4</sub> (80:20) 2 ml/min and monitoring at 220 nm. The ee of the acid produced was determined by converting to the diastomeric amide as in Example 18 and analysis by HPLC on a Hibar RT Lichrosorb Si60 column (5 µm, 4 mm x 25 cm, E. Merck) using CH<sub>2</sub>Cl<sub>2</sub>: CH<sub>3</sub>CN (96:4) as eluant at 2ml/min and monitoring at 254 nm. The results are given in the Table below.

50

55

Table 15

Results of Hydrolysis of Ibuprofen Methyl Ester					
Flask	DMF	Enzyme	Percent of Acid	Rate uMole/hr/mg	% ee of S-acid
1*	200 ul	No Enzyme	0		
4	200 ul	Lipase MY (50mg)	29	0.2	96
5	0 ul	Lipase MY (50mg)	28	0.2	96
6	200 ul	CSC-2 (1mg)	32	13.3	97
7	0 ul	CSC-2 (1mg)	28	11.4	98
8	200 ul	CSC-1 (1mg)	4	1.8	96
9	0 ul	CSC-1 (1mg)	5	2.1	95

\* 100mg of ibuprofen methyl ester was used for this control experiment

### Example 36

Hydrolysis of fenoprofen methyl ester:

The ester was used as such without any DMF. Fenoprofen methyl ester (56 mg or 112 mg) was subjected to the enzymatic hydrolysis according to Example 18 and the amount of acid produced was determined by HPLC on ODS-3 RAC column using CH<sub>3</sub>OH: 10mM (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub> (60:40) as eluant at 4 ml/min and monitoring at 254 nm. The ee of the acid produced was determined by converting to the diastereomeric amides as in Example 18 and then analysis by HPLC on Hibar Lichrosorb Si60 (5um) column using CH<sub>2</sub>Cl<sub>2</sub>:CH<sub>3</sub>CN (96:4) at 2 ml/min and monitoring at 254 nm. The results are given in the table below.

Hydrolysis of the Methyl Ester of Fenoprofen				
Ester	Enzyme	Acid (%)	Rate uMole/hr/mg	ee of S-acid (%)
56 mg	No enzyme	0		
56 mg	Lipase MY (50 mg)	16	0.03	80
112 mg	Lipase MY (50 mg)	17	0.07	89
56 mg	CSC-2 (1 mg)	22	2.2	96
112 mg	CSC-2 (1 mg)	13	2.6	96
56 mg	CSC-1 (1 mg)	20	2.0	95
112 mg	CSC-1 (1 mg)	14	2.8	96

### Example 37

This example describes the hydrolysis of 2-phenylpropionic acid methyl ester.

The ester was subjected to enzymatic hydrolysis without addition of DMF as carried out in Example 18. The amount of acid produced was determined by HPLC on ODS-3 RAC column using CH<sub>3</sub>OH: 10 mM (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub> (60:40) at 4 ml/min and monitoring at 220 nm. The acid produced in the reaction was converted to the diastereomeric amides as in Example 18 and analyzed by HPLC on Hibar Lichrosorb Si60 (5 um) column using CH<sub>2</sub>Cl<sub>2</sub>:CH<sub>3</sub>CN (98:2) at 2 ml/min and monitoring at 254 nm to determine the enantiomeric excess. Results are given in the Table 16 below.



Table 16

Hydrolysis of the methyl ester of 2-phenylpropionic acid				
Ester	Enzyme	Percent Acid	Rate uMole/hr/mg	ee of S-acid (%)
(mg)				
43.7	Lipase MY (50 mg)	28	0.07	88
		31	0.08	88
46.7	CSC-2 (1 mg)	18	2.4	93
		17	2.1	93
47.4	CSC-1 (1 mg)	9	1.2	91
		9	1.2	89

Example 38

This example describes the hydrolysis of Indoprofen monoglyceride. Indoprofen monoglyceride (50 mg) was subjected to hydrolysis as in Example 18 with higher quantities of the enzymes as shown in Table 17. After 68 hours the reaction was extracted according to the procedure shown in Example 18 and the amount of acid produced was determined by HPLC on ODS-3 RAC column using CH<sub>3</sub>OH-10 mM (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub> - (60:40) at 4 ml/min and detection at 254 nm. The enantiomeric excess was determined by HPLC of the diastereomeric amide (prepared as in Example 18) on the same system. The R- and S- isomer of the amide of authentic RS-indoprofen gave peaks of slightly different area, and the enantiomeric excess was calculated by accounting for this difference. In addition, a small amount of the reaction mixture was separated into the acid and ester by extraction with NaHCO<sub>3</sub> and the rotations of these were determined and reported below. By analogy with other profens, the acid with positive rotation and whose diastereomeric amide with D-(+)-1-phenylethyl amine which eluted later in the HPLC system was assigned the S-configuration.

The results are shown in Table 17.

Table 17

Hydrolysis of indoprofen monoglyceride						
Enzyme	Acid (%)	Rate	(S-R) acid	of acid (%)	Observed rotation	
			(%)		Acid	Ester
RS-indoprofen			15.8			
Lipase MY (100 mg)	8.2	0.0017	-35.4	51.2 (R)	+ .012	+ .005
CSC-2 (10 mg)	2.3	0.0048	40.8	25.0 (S)	-.007	-.005
CSC-1 (10 mg)	1.8	0.0037	-43.8	59.6 (R)	+ .013	+ .002

Example 39

The effect of Tween 80 on the hydrolysis of ketoprofen monoglyceride by CSC-2 was demonstrated as follows.

The presence of a surfactant like Tween increases the solubility of lipophilic compounds in water. Experiments were performed to find out if the surfactant could increase the solubility of ketoprofen monoglyceride and to determine its effect on the hydrolysis with CSC-2. In 50ml flasks 1 mg of CSC-2 was dissolved in 10ml of 100mM  $(\text{NH}_4)_2\text{HPO}_4$  (pH 5.5) buffer. Tween 80 was added to make 1% and 2% solution (v/v). Bovine serum albumin (BSA) (10mg) was added to each of two flasks. A solution of 50mg of ketoprofen monoglyceride in 200ul DMF was added to each. After 20 hours at 250 rpm and 35 °C the reaction mixtures were acidified, extracted and analyzed by the usual HPLC procedure as in Example 18. The results are shown in Table 18.

Table 18

Effect of Tween 80 and BSA on Hydrolysis		
Reaction	Relative Rate	ee of S-acid (%)
No Tween no BSA	100	98
1% Tween and BSA	114	95
2% Tween and BSA	142	96
2% Tween no BSA	118	96

#### Example 40

Various surfactants were used at 2% of the reaction volume to determine their effect on the enzymatic reaction involving the conversion of ketoprofen monoglyceride (KPG) to ketoprofen (KP). To Erlenmeyer flasks were added 200 ul of surfactant, 50 mg KPG dissolved in 200 ul DMF, 1 mg of CSC-2 enzyme in 1 ml buffer and 9 ml buffer (100 mM  $(\text{NH}_4)_2\text{HPO}_4$ , pH 5.5). Flasks were covered with parafilm and incubated in a 35 °C water bath at 250 rpm, typically overnight. Reactions were stopped by acidification, extracted with  $\text{CHCl}_3$  (2x10 ml). The %acid and %ee were determined by the method of Example 18. The results of experiments using surfactants are shown below in Table 19. It is to be noted that Hydrophile-Lipophile Balance (HLB) values have no apparent bearing on the ability of the surfactant to enhance the conversion of KPG to KP.

Table 19

5	Effect of Surfactants on Hydrolysis of Ketoprofen Monoglyceride		
	Additive	HLB	Relative Rate
	0 (enzyme alone, Lipase CSC-2)	-	100
10	Arlacel 83	3.7	172
	Brij 35	16.9	26
	Brij 72	4.9	106
	Brij 76	12.4	18
	Brij 78	15.3	14
15	Brij 92	4.9	27
	Brij 96	-	27
	Brij 99	15.3	15
	Igepal CO-210	4.6	42
	Igepal CO-430	8.9	27
20	Igepal CO-520	10.5	25
	Igepal CO-530	10.9	23
	Igepal CO-720	13.3	32
	Igepal CO-890	17.1	30
	PEG P1	-	98
25	PEG P4	-	96
	PEG P11	-	69
	Pluronic P2010	-	79
	Pluronic V10	-	39
	Pluronic P75	-	29
30	Pluronic L64	-	33
	Pluronic L92	-	12
	Pluronic F147	-	82
	Span 20	8.6	75
	Span 40	6.7	99
35	Span 60	4.7	108
	Span 80	4.3	160
	Span 85	1.8	181
	Tween 20	16.9	39
	Tween 40	15.6	29
40	Tween 60	14.9	23
	Tween 61	14.9	92
	Tween 65	10.5	39
	Tween 80	15.0	136
45	Tween 85	11.0	27

Of the surfactants referred to above, the Tween series is made up of polyoxyethylene (POE) sorbitans with 20 POE units except for Tween 61 with 5 POE units. The Span series is made up of sorbitans (without POE) with the same numbering sequence. All surfactants listed are from Sigma unless otherwise noted.

50 Tween and Span 20 is monolaurate, 40 is monopalmitate, 60 is monostearate, 61 (Serva) is also a monostearate, 65 (Serva) is a tristearate, 80 is monooleate, and 85 is trioleate. Arlacel 83 is sorbitan sesquioleate. The Brij series is made up of polyoxyethylenes (POE) ethers. Brij 35 is POE-23 lauryl ether. Brij 72 is POE-2 stearyl ether, Brij 76 is POE-10 stearyl ether, Brij 78 is POE-20 stearyl ether, Brij 92 is POE-2 oleyl ether, Brij 96 is POE-10 oleyl ether, and Brij 99 is POE-20 oleyl ether. The Igepal series (GAF)

55 is made up of POE nonylphenol ethers, all consisting of  $C_{15}H_{24}O$ , with varying amounts (n) of  $C_2H_4O$  attached. Igepal CO-210 is  $C_{15}H_{24}O-(C_2H_4O)_n=1.5$ , CO-430  $n=4$ , CO-520  $n=5$ , CO-530  $n=6$ , CO-720  $n=2$ , and CO-890  $n=40$ . Polyethylene glycol (PEG) dimethyl ethers (Hoechst) used are of length 2000 ( $P_4$ ), 1000 ( $P_1$ ) and 250 ( $P_{11}$ ). The pluracols and pluronics listed are polyols.

Example 41

The effect of oleate ester surfactants on the activity of CSC-2 with ketoprofen monoglyceride was demonstrated as follows:

75 mg of KPG (in the absence of DMF) was individually weighed into 50 ml Erlenmeyer flasks. One mg of CSC-2 in 1 ml buffer was added, as was 100, 200, or 500 ul of oleate ester surfactants, making them 1%, 2%, and 5% of reaction volume. 9 ml of 100 mM  $(\text{NH}_4)\text{H}_2\text{PO}_4$ , pH 5.5 buffer was added, and the flasks were placed into a 35 °C, 250 rpm water bath incubator for 20 hours. The reactions were acidified, extracted with  $\text{CHCl}_3$  and examined by HPLC as in Example 18. The %ee was determined as per Example 18. The results are shown in Table 20:

Table 20

Additive	% Acid	S-acid
0	19	96
100 ul Tween 80	12	
200 ul "	22	96
500 ul "	18	
100 ul Span 85	28	
200 ul "	33	96
500 ul "	34	
100 ul Arlacel 83	30	
200 ul "	30	99
500 ul "	29	
100 ul Span 80	27	
200 ul "	28	97
500 ul "	27	

Example 42

To determine the effects of oleic acid and other esters of oleic acid, an experiment was performed using a protocol similar to Example 41. 50 mg KPG was dissolved in 200 ul of DMF, 1 mg of CSC-2 was added in 1 ml of buffer, and 9 ml of buffer was used. Various amounts of oleic acid or its esters were added, and the reactions stopped at the times indicated, acidified, extracted with  $\text{CHCl}_3$  and analyzed as in Example 18. The results are shown in Table 21.

Table 21

Time	Additive	% acid	Rate	% ee (S-acid)
18 hr	None	23	2	ND
"	100 ul triolein	38	3	ND
"	100 ul Diolein	42	4	ND
"	100 ul Monolein	43	4	ND
23 hr	None	29	2	96
"	200ul oleic acid	47	3	96
"	200 ul oleic acid methyl ester	51	3	97
"	200 ul oleic acid ethyl ester	52	4	96
ND = Not Determined				

Example 43

The use of olive oil as an enhancer of CSC-2 activity was shown as follows:

An experiment was performed as in Example 41, but using 100, 200 and 500 ul of olive oil to determine if it would enhance the rate of conversion of KPG to KP. The method was the same as used in Example 41 (50 mg KPG, 1mg CSC-2 in 1 ml buffer, 9ml buffer, olive oil, 35 °C, 250 rpm, 20 hours). After 20 hours, all reactions were acidified, extracted with CHCl<sub>3</sub> and analyzed by HPLC as in Example 18. The results are given below:

Additive	% acid
None	19
100 ul olive oil	34
200 ul olive oil	40
500 ul olive oil	42

Example 44

Olive oil and other oils were used as substrates for hydrolysis by CSC-2 as follows:

Olive oil (1 g) was suspended in 10 ml of 100 mM ammonium phosphate buffer (pH 5.5). A solution of 1 mg of CSC-2 in 1 ml of the same buffer was added and the mixture was shaken at 250 rpm at 35 °C for 1 hour. The reaction was terminated by the addition of 10 ml of a mixture of acetone and methanol (1:1), diluted with 50 ml of water and titrated with a standard solution of sodium hydroxide using phenolphthalein as indicator. The result was then compared with the control reaction without the enzyme. The enzyme reaction produced 1.31 mmole of acid in one hour.

Other natural triglycerides were tested as substrates of Lipase MY, CSC-1, and CSC-2. 200 ul of each oil was placed in a scintillation vial with enzyme (1 mg of CSC-1 or CSC-2 or 50 mg of Lipase MY) in 2 ml of buffer (100 mM (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub>, pH 5.5). Some flasks also received 20-30 mg of ketoprofen monoglyceride (KPG). Oleic acid was also used as a substrate for transesterification. After an overnight incubation at 35C, 250 rpm, the reactions were analyzed by thin layer chromatography (TLC) using silica gel plates. The solvent system was 95:5 CHCl<sub>3</sub>:CH<sub>3</sub>OH. Plates were visualized with iodine vapor. In other experiments it was found that myristic acid (C14), palmitic acid (C16), and stearic acid (C18) are difficult to detect under these conditions, and of the C18 unsaturated fatty acids, oleic, linoleic, and linolenic do not separate well. The conditions and results (presence of spots corresponding to C18 unsaturated fatty acids, tri, di and mono glyceride esters of oleic acid) using olive oil, corn oil, and oleic acid as substrates are given in Table 22.

Table 22

Substrate :	Olive oil				corn oil				oleic acid			
	C18	tri	di	mono	C18	tri	di	mono	C18	tri	di	mono
substrate alone		+				+				+		
substrate + CSC-2	+	+	+	+	+	+	+	+	+			
substrate + CSC-2 + KPG	+				+				+	+	+	+
substrate + CSC-1	+	+	+	+	+				+			
substrate + CSC-1 + KPG	+	+	+	+	+	+		+	+	+	+	+
substrate + Lipase MY	+	+	+	+	+	+			+			
substrate + Lipase MY + KPG	+	+	+	+	+	+	+	+	+	+	+	+

Example 45

To determine the effect of other naturally occurring oils (triglyceride esters of oleic and other acids), on the CSC-2 hydrolysis of ketoprofen monoglyceride a series of experiments were performed. 50 mg of KPG was weighed into Erlenmeyer flasks. 1 mg of CSC-2 in 1 ml 100 mM  $(\text{NH}_4)_2\text{HPO}_4$  pH 5.5 buffer and 20 ul oil were added, along with 9 ml buffer. Flasks were incubated at 35° C, 250 rpm for 23 hours. The reactions were stopped by acidification, extracted with  $\text{CHCl}_3$ , and analyzed by HPLC as in Example 18. The additive is listed along with its percentage composition of glycerides of oleic acid. The following results were obtained:

Table 23

Additive	% Oleates in oil	% Acid	ee (S-acid)
0		32	97
20 ul corn oil	43	41	96
20 ul peanut oil	42-61	42	96
20 ul soybean oil	23-31	41	96
20 ul lard oil	38-44	40	96
20 ul olive oil	64-84	41	96

Example 46

Preparation of S-ketoprofen in the presence of additives.

To determine the problems associated with having oleic acid or oleate esters in the reaction media, the following experiment was performed. To 250 ml Erlenmeyer flasks were added 5 g KPG, 50 mg of CSC-2 enzyme, and 5 grams of oleates (oils or oleic acid). Flasks were placed in a 35° C incubator at 250 rpm, and the reactions were sampled by taking 200 ul aliquots at various time points. These aliquots were analyzed by HPLC (ODS-3 RAC column,  $\text{CH}_3\text{OH}$ : 10 mM  $(\text{NH}_4)_2\text{HPO}_4$  (60:40) eluant, 4 ml/min, 254 nm detection) to determine the course of reaction. When approximately 40% acid was produced, the reactions were stopped by placing the flasks in the -70° C freezer. Two extraction procedures were utilized to determine the best method of isolating S-Ketoprofen free of oleic acid. The first (listed as Extraction Procedure I in the following table) involved first making the solution basic (pH 10) with NaOH, then extracting with hexane, then  $\text{CHCl}_3$ . The aqueous layer was then acidified to pH 1.5 with HCl, extracted with hexane, then  $\text{CHCl}_3$ . Fractions containing ketoprofen acid were then pooled, extracted into  $\text{CHCl}_3$ , and the ketoprofen acid removed with 5%  $\text{NaHCO}_3$ . This was then extracted into  $\text{CHCl}_3$ , evaporated, and crystallized using ethanol. As noted in the following table (Table 24), the oleic acid was not separated completely from the ketoprofen. The other flasks were extracted using Extraction Procedure II. The solutions were first made acidic (pH 1.5) with 6N HCl, and the ketoprofen acid and ester extracted with  $\text{CHCl}_3$ . The ketoprofen acid was then removed with 5%  $\text{NaHCO}_3$ , which was then acidified and extracted into  $\text{CHCl}_3$ . The  $\text{CHCl}_3$  was evaporated, and the ketoprofen was recovered. By TLC on silica plates using 95:5  $\text{CHCl}_3$ : $\text{CH}_3\text{OH}$  and iodine vapor development, as well as by NMR, the ketoprofen acid was found to contain <5% oleic acid. The results are given in Table 24:

Table 24

	% Acid	Final Rate	Isolated Acid (g)	Isolated % ee (S-Acid)	Extraction
		uMole/hr/mg			
<u>Procedure</u>					
CSC-2 alone	40.5	0.53	0.86	95.8	I
+ Olive oil	37	1.1	1.07	95.4	II
+ Oleic acid	39	1.2	?	88.	I
+ Corn oil	31	0.95	?	84.8	I
+ Tween 80	34.9	0.46	0.78	94.3	II
+ Span 85	28.9	0.87	0.84	95.1	II

Example 47

This example illustrates the effect of mineral oil on ketoprofen monoglyceride hydrolysis by CSC-2.

To determine if there was a non-specific enhancing effect of paraffin oils on the rate of conversion of KPG to KP by CSC-2, the enzymatic hydrolysis procedure of Example 44 was repeated. In this experiment, mineral oil was used at 2% of reaction volume. The reactions were stopped at 23 hours, acidified, extracted with  $\text{CHCl}_3$  and analyzed by HPLC as in Example 18. No significant increase in the rate of acid production was observed using mineral oil.

	% Acid
CSC-2	29
CSC-2 + 200 ul mineral oil	30

Example 48

This example illustrates the specificity of reaction rate enhancement of CSC-2 using oleic acid.

To determine the effect of compounds closely related to oleic acid and its esters (in addition to the surfactants already mentioned), a series of experiments were performed as in Example 40, including the use of 200 ul of DMF to solubilize the substrate. The reactions were stopped at the times indicated, acidified, extracted with  $\text{CHCl}_3$  and analyzed by HPLC as in Example 18 with the following results:



Table 25

Time	Additive	% Acid
(hr)		
18	0	23
"	200 ul Triacetin	12
"	100 ul tributyrin	3
23	0	29
"	200 ul linoleic acid	21
"	200 ul linoleic acid methyl ester	34
"	200 ul Linoleic acid ethyl ester	26
"	100 ul dillinolein	20
"	100 ul trillinolein	20
23	0	32
"	25 ul monostearin	31
"	25 ul distearin	30
"	28 ul tristearin	25
"	200 ul linolenic acid	13

#### Example 49

This example illustrates the rate enhancement effect of oleic acid in lipase MY, CSC-1 and CSC-2.

To determine which enzyme in the Lipase MY preparation responds to oleic acid and its esters by enhancement of the rate of conversion of ketoprofen esters, particularly ketoprofen monoglyceride, to ketoprofen, 50 mg of KPG in the absence of DMF was weighed into a flask to which was added either 1 mg of CSC-2 in 1 ml buffer, 1 mg CSC-1 in 1 ml buffer, or 50 mg Lipase MY in 1 ml buffer. 9 ml buffer (100 mM  $(\text{NH}_4)_2\text{H}_2\text{PO}_4$  pH 5.5) was added and 200 ul oleic acid was added to some of the flasks. The flasks were incubated at 35° C, 250 rpm, for 21 hours. The reactions were stopped by acidification and  $\text{CHCl}_3$  extraction and analyzed by HPLC as in Example 18.

The results shown in Table 26 were obtained:

Table 26

Enzyme	Additive	% Acid	% ee (S acid)
50 mg Lipase MY	0	31	81
	200 ul oleic acid	46	83
1 mg CSC-1	0	6	85
	200 ul oleic acid	5	84
1 mg CSC-2	0	33	97
	200 ul oleic acid	52	97

#### Example 50

This example demonstrates the effect of oleic acid on the rate of hydrolysis of various ketoprofen esters by lipase MY and *Candida* lipase B (Biocatalyst).

Since oleic acid enhanced the rate of conversion of KPG to KP by Lipase MY and CSC-2, a series of

esters of ketoprofen were used. This experiment compared oleic acid enhancement of the rate of conversion of different esters of ketoprofen by either Lipase MY or the *Candida* lipase B (Biocatalyst). Ketoprofen ester (50 mg) was placed in an Erlenmeyer flask, and 50 mg of Lipase MY or Biocatalyst Lipase (repeated in one case listed at 10 mg, 16 hrs) was added in 1 ml buffer, as was 9 ml buffer. Oleic acid (200 ul) was added to some flasks. The reactions were incubated at 35 °C, 250 rpm, for 17 hours, acidified, extracted with CHCl<sub>3</sub>, and analyzed by HPLC. The data is given below in Table 27.

Table 27

Ketoprofen ester Substrate	Lipase MY		<i>Candida</i> Lipase B	
	Alone	+ Oleic	Alone	+ Oleic
	% acid	% acid	% acid	% acid
methyl	4	4	14	15
n-propyl	15	13	43	33
n-hexyl	4	7	26	15
2,2,2-trichloroethyl	34	13	41	39
monoglyceride	23	40	44*	53*

\*10mg, 16 hrs

Example 51

This example describes the improvement in stereoselectivity and rate of reaction by oleic acid using the 2-chloroethyl ester of ketoprofen.

An experiment was performed using 50 mg of the 2-chloroethyl ester of ketoprofen (in the absence of DMF). The enzymes used were 50 mg of Lipase MY or 50mg of *Candida* lipase B (Biocatalyst) or 1 mg each of CSC-1 or CSC-2, dissolved in 1 ml of buffer. Oleic acid was used at 200 ul, and 9 ml of buffer was added. The reactions were incubated at 35 °C, 250 rpm, for 15.5 hours, acidified and extracted with CHCl<sub>3</sub>.

The analysis was carried out as performed in Example 18. The data obtained is set forth in Table 28.

Table 28

2-Chloroethyl Ester of Ketoprofen			
Enzyme	Additive	%Acid	%ee S-acid
50 mg Lipase MY	0	12	21
	200 ul oleic acid	20	87
1 mg CSC-2	0	12	93
	200 ul oleic acid	26	99
1 mg CSC-1	0	4	89
	200 ul oleic acid	2	55
50 mg U> <i>Candida</i> Lipase B	0	8	25
	200 ul oleic acid	9	85

Example 52

This example describes the effect of oleic acid on the reaction rate and stereospecificity of other enzymes and other esters of ketoprofen.

To determine whether oleic acid could be used to enhance the rate/selectivity using other lipase and/or substrates, the following experiment was performed. 50 mg of either the diethylene glycol ester, triethylene glycol monomethyl ester, monoglyceride ester, or ethylene glycol ester was placed in 50 ml Erlenmeyer flasks. To this was added 50 mg of Lipase MY, 50 mg of the *Mucor miehei* lipase, 2.5 mg of the *Candida cylindracea* lipase (Biocatalyst), or 5 mg of lipase OF, all in 1 ml of buffer. 10 ul of pig liver esterase was also used. Oleic acid was added to the flasks at 200 ul. Buffer was added to a final volume of 10 ml, and the flasks were incubated at 35°C, 250 rpm, acidified, and extracted with  $\text{CHCl}_3$  and analyzed by HPLC as in Example 18. The data obtained is presented in Table 29:

Table 29

	Diethylene Glycol Ester of Ketoprofen		Monomethyl Triethylene Glycol ester of ketoprofen		Monoglyceride Ester of ketoprofen		Ethylene Glycol Ester of ketoprofen	
	% Acid	%ee	% Acid	%ee	% Acid	%ee	% Acid	%ee
Lipase MY + Oleic	38 41	48 66	16 16	62 81	18 37	70 82	9 27	60 95
<i>Mucor miehei</i> + Oleic	24 20	41R 2R	26 6	36R 1R	20 4	40R 35R	17 10	54R 42R
Pig Liver Esterase + Oleic	11 5	7 8	20 5	2R 15	10 1	36R 14R	3 3	51R 64R
Biocatalyst U> <i>Candida</i> Lipase + Oleic	24 33	54 53	9 10	60 70	19 34	57 78	7 25	47 91
Lipase OF + Oleic	40 48	39 60	17 18	61 81	35 48	41 69	13 39	31 88

### Example 53

This example describes the oleic acid enhancement of hydrolysis of ketoprofen esters with free or immobilized enzyme.

200 mg of either KPEG or KPG was added to an Erlenmeyer flask, to which was added 1 mg of free CSC-2 in 1 ml buffer or 200 mg of CSC-2 immobilized on Silica gel (279-SD1) as per Example 24, run 16 (determined to be equivalent to 1 mg free enzyme). Oleic acid was added at 0, 50, 200, 500, or 1000 ul, and buffer added to a final reaction volume of 10 ml. The reactions were stopped between 14 and 21 hours by acidification and  $\text{CHCl}_3$  extraction, and % acid and %ee determined as in Example 18. The results obtained are set out in Table 30.

Table 30

Enzyme	Substrate	Oleic acid	% Acid	% ee (S-acid)
		(ul)		
Immobilized CSC-2	Ethylene	0	2	95
	Glycol	50	2	95
	Ester	200	5	97
	of	500	11	97
	ketoprofen	1000	18	98
Free CSC-2	Ethylene	0	3	93
	Glycol	50	5	97
	Ester	200	12	98
	of	500	28	97
	ketoprofen	1000	33	97
Immobilized CSC-2	Monoglyceride	0	1	87
	ester	50	2	92
	of	200	4	93
	ketoprofen	500	5	92
		1000	7	92
Free CSC-2	Monoglyceride	0	1	91
	ester	50	3	93
	of	200	9	95
	ketoprofen	500	15	94
		1000	21	96

Example 54

This example demonstrates the effect of oleic acid, hexane and water on the production of ketoprofen by CSC-2.

To determine whether transesterification was possible without enzymatic hydrolysis, and also to avoid the problems inherent in a multiphase system of oleic acid and aqueous buffer, the enzymatic conversion of ketoprofen esters to ketoprofen was attempted in hexane and oleic acid mixed at various ratios. In this experiment, KPEG was used at 200mg, immobilized CSC-2 on Silica (279-SD1), as in Example 53 above, used at 200 mg (equivalent to 1 mg free enzyme), and hexane and oleic acid were used at various levels (from 10 ml and 0 ml each to 0 ml and 10 ml each). Buffer was added to some flasks at 50 ul (0.5% of reaction volume). Flasks were incubated at 35 °C, 250 rpm, and a 200 ul aliquot taken at 17.5 hours, diluted into methanol, and injected on the HPLC directly. The standard ODS-3 RAC column with CH<sub>3</sub>OH:10mM (NH<sub>4</sub>)H<sub>2</sub>PO<sub>4</sub> (60:40) eluate at 4 ml/min and detection at 254nm was used. The data is given below in Table 31:

Table 31

Hexane (ml)	Oleic acid (ml)	Buffer (ul)	% Acid	Rate uMole/hr/mg
10	0	0	1	<1
10	0	50ul	0	0
8	2	0	18	6
8	2	50ul	15	5
2	8	0	5	2
2	8	50ul	13	4
0	10	0	5	2
0	10	50ul	10	4

Example 55

This example describes the effect of organic solvents on the production of ketoprofen.

To optimize the organic solvent used in the presence of oleic acid a variety of organic solvents were used, along with a variety of ketoprofen esters. In this experiment, 50 mg of ketoprofen esters were weighed into flasks, along with 1 ml of oleic acid and 9 ml of an organic solvent. The flasks were capped and incubated at 35° C, 250 rpm, for 10-26 hours before stopping the reaction by first adding 4 ml CHCl<sub>3</sub> to solubilize the substrates and products, then filtering through a 0.2 um Gelman Nylaflo filter. Samples were then diluted in methanol and analyzed by HPLC as in Example 18. The experiment was also performed using 200 ul of oleic acid and 10ml buffer, which was acidified, extracted with CHCl<sub>3</sub> and analyzed by HPLC as above. The data are below in Table 32:

Table 32

Ketoprofen ester	Buffer 10 ml OA 200ul	Hexane 9 ml OA 1 ml	Toluene 9 ml OA 1 ml	Isooctane 9 ml OA 1 ml OA 1 ml	CHCl <sub>3</sub> 9ml
	% acid (% ee)	% acid (% ee)	% acid (% ee)	% acid (%ee)	% acid (%ee)
Ethylene Glycol Ester	21 (98)	29 (95)	0	47 (98)*	0
Monoglyceride Ester	26 (96)	47 (97)	0	31 (96)	0
2-Chloroethyl Ester	18 (96)	7 (92)	1 (<1%)	18 (97)	2 (3)
Diethylene glycol ester	32 (30)	56 (13)*	N.D.	60 (17)*	N.D.
Methyl ester	2 (96)	0.8	0	2 (93)	0

\*Reaction stopped at 10 Hr

ND - Not Determined

OA - Oleic Acid

Example 56

Since KPG and KPEG seemed to be the most effective esters in organic solvents, an experiment was performed using KPG and KPEG as substrates with a variety of different organic solvents or buffer, all in the presence of 1 ml of oleic acid. 50 mg of either KPG or KPEG were weighed into Erlenmeyer flasks, as was 100 mg CSC-2 immobilized on Silica (279-SD-1), equivalent to 0.5 mg free enzyme. One ml of oleic acid was added, as was 9 ml of buffer (100 mM (NH<sub>4</sub>)<sub>2</sub>PO<sub>4</sub>, pH 5.5) or organic solvent. Flasks were placed into a 35° C incubator and shaken at 250 rpm for 13 hours. Reaction was stopped by adding 5 ml

CHCl<sub>3</sub> to solubilize the organic material and filtering the solution using 0.2 um Gelman Nylaflo membranes to remove the enzyme. Samples were analyzed on an ODS-3 RAC column using CH<sub>2</sub>OH:10 mM (NH<sub>4</sub>)-H<sub>2</sub>PO<sub>4</sub>, (60:40) as eluant, flow rate 4 ml/min, and detection at 254 nm. %ee was determined by the resolution of diastereomeric amides on the above HPLC system, as per Example 18. The results are given in Table 33:

Table 33

Solvent 9ml/1ml	Monoglyceride Ester of ketoprofen		Ethylene glycol ester of ketoprofen	
	% Acid	% ee S-acid	% Acid	% ee S-acid
Buffer/Oleic acid	17	97	19	97
Hexane/Oleic acid	12	94	16	87
Isooctane/Oleic acid	39	98	48	97
Cyclohexane/Oleic acid	38	98	29	83
Cyclohexene/Oleic acid	12	94	3	52
Cyclooctene/Oleic acid	38	98	16	92
Tetrahydronaphthalene/Oleic acid	2	68	1	1
1,1,1, Trichloroethane/Oleic acid	1	0	0	0
Tetrachloroethylene/Oleic acid	1	0	0	0

#### Example 57

Another lipase from *C. rugosa*. Enzeco Rxx, available from Enzyme Development Corporation was evaluated by SDS-PAGE and IEF. The SDS-PAGE showed the molecular weight of Enzeco Rxx to be slightly higher than CSC-2 (Figure 12). However, the IEF showed a major band at the same isoelectric point as CSC-2.

Evaluation of the Enzeco Rxx activity with KPG or KPEG (50mg) in 10ml of 100mM NH<sub>4</sub>H<sub>2</sub>PO<sub>4</sub>, pH 5.5 buffer with and without the addition of 200 ul of oleic acid for an incubation period of 18 hours at 35 °C and 750 RPM gave the results shown in Table 34.

Table 34

Enzyme	Oleic Acid Added	KPG			KPEG		
		% Acid Formed	Rate (uMole/hr/mg)	% ee S-acid	% Acid Formed	Rate (uMole/hr/mg)	% ee S-acid
CSC-2	200ul	44	4	98	60	5	97
CSC-2	0	75	1	95	20	2	93
Enzeco Rxx	200ul	33	3	66	36	3	83
Enzeco Rxx	0	33	3	22	20	20	19

#### Example 58

This example describes the separation and purification of the isozymes of lipase MY using isoelectric focusing.

As an alternative method to the use of ion-exchange columns, a preparative isoelectric focusing (IEF) cell was used to separate the isozymes of lipase MY on the basis of their individual charges. Lipase MY

that had been previously desalted on a Trisacryl GF-05 column was lyophilized and 400 mg was dissolved into 50 mls deionized water with 2 mls of pH 2.5-5 ampholytes. This sample was placed in the preparative IEF cell and focused at 12 watts at 4° C for 4 hours. Voltage and current were effectively unlimited (3000 volts and 150 mamps maximum). After 4 hours, samples were harvested by vacuum aspiration, and their pH and protein content determined without any dialysis (to remove ampholytes) of the fractions. The results are shown in the graph of Figure 13. A pH 3-9 analytical IEF gel of the fractions showed the separation of lipase CSC-2 (fractions 8-12) and lipase CSC-1 (fractions 13-17) that was obtained. As a demonstration of the activity of the fractions, 1 ml each of certain fractions were assayed for stereospecific hydrolytic activity in aqueous buffer against 50 mg of the monoglyceride ester of ketoprofen in the presence or absence of 200 ul of oleic acid as per the method of Example 18. The activity and %ee of the fractions are presented in Table 35 below.

Table 35

pH	Fraction%	Acid	% ee (S-acid)
3.9	2	3	63
	2 + oleic	6	86
4.15	4	8	92
	4 + oleic	23	96
4.1	5	4	85
	5 + oleic	14	94
4.2	7	8	90
	7 + oleic	30	96
4.3	9	18	96
	9 + oleic	43	98
4.4	11	11	95
	11 + oleic	40	97

Example 59

This example illustrates the use of lipase AY for the preparation of CSC-1 and CSC-2.

Lipase AY 30 (Amano) was solubilized in 40 mls of deionized water and dialyzed in a 2000 m.w. cutoff dialysis bag, 2 times in 10L of deionized water for 18 hours. The retained material was centrifuged at 7500 RPM for 20 minutes and the pellet was discarded. The supernatant was then chromatographed on a SP-Trisacryl M ion exchange resin (13 x 25 cm column). The SP-Trisacryl M column was pre-equilibrated with 25 mM sodium acetate pH 3.3. The supernatant was loaded at 50 ml/hr; the column was washed with equilibration buffer to baseline and eluted with 25 mM acetate buffer at pH 4.6, followed by elution with 25 mM acetate buffer at pH 6.4. The fractions collected during the run were analyzed by IEF. CSC-1 and CSC-2 were isolated effectively using this procedure.

Example 60

This example further illustrates that the stereoselectivity of lipase MY is increased by immobilization and use.

Lipase MY 150 mg, free or immobilized, was assayed in 10 ml of pH 7 buffer and 5 ml of 1M HPPA-Me. The immobilized enzyme was more selective. However, the hydrolysis did not stop after the R ester was completely consumed.

Table 36

Free Enzyme vs. Immobilized Enzyme			
	Free	XAMA-2	XAMA-7
Time (hrs)	% R (% Conv)	% R (% Conv)	% R (% Conv)
4	73(16)	87(15)	84(17)

### Example 61

#### Reaction Conditions

Substrate, 400 mg of (R,S)-HPPA-Me, was placed in a 500 ml Erlenmeyer flask and 200 ml of 0.20 M  $\text{KH}_2\text{PO}_4$  (pH 6.5) buffer was added followed by 0.3 g of Lipase MY. The mixture was shaken at 250 RPM in Dubnoff shaker at room temperature (25° C) for 21.5 hrs. Samples were taken at 0.5 hr intervals from 0-6.5 hrs and then at 21.5 hrs for analysis.

#### Optimization of pH

(R,S)-HPPA-Me, 196 mg, was added to a series of 100 ml of phosphate buffer solutions ranging from pH 4 to 8.5 in 0.5 unit increments in a 250 ml flasks. The mixtures were shaken for 20 minutes to solubilize the ester. A 1 mg/ml solution of lipase MY was prepared, centrifuged at 7500 RPM for 20 minutes and 0.5 ml of this supernatant was added to each flask. The flasks were placed in a Dubnoff shaker at room temperature and sampled at 7 hours to determine the percent conversion of (R,S)-HPPA-Me to (R)-HPPA and the enantiomeric purity of the final product.

#### The Effect of High Concentrations of (R,S)-HPPA-Me

(R,S)-HPPA-Me (1.5 moles) was combined with 1 liter of 0.5 M phosphate buffer, pH 7.0. The temperature of the reaction was controlled at 40-45° C in a water bath and 1 g of lipase MY was then added. The reaction was stirred mechanically for 114 hours and samples were taken at various intervals to determine the enantiomeric purity and concentration of the (R)-HPPA produced.

#### Optimum Temperature

(R,S)-HPPA-Me, 294 g, was placed in a 2L Erlenmeyer flask with 1 L of 1M ammonium acetate, pH 7.05, 150 ml of toluene and 2g of Lipase MY. The temperature of the reaction was maintained at 12, 21, 35, or 45° C via a chilled water bath or a heated water bath. Samples of the aqueous layer were withdrawn at intervals to determine the total concentration of HPPA produced and the relative amounts of (R)- and (S)-HPPA.

#### Product Inhibition

A. Inhibition of activity by the alcohol produced upon hydrolysis. Two hydrolysis reactions were run to determine the effect of methanol, produced as a by-product of the hydrolysis of HPPA-Me, on the activity of Lipase MY. (R,S)-HPPA-Me, 1.5 moles, 1 L of 1 M  $\text{NH}_4\text{OAc}$ , pH 6.5, 150 ml toluene, and 2 g Lipase MY were placed in flasks with and without 0.75 moles of methanol. The mixtures were shaken gently and sampled at various times to determine the concentrations of each of the stereoisomers of HPPA which were



produced.

B. Inhibition of activity by (R)-HPPA. To determine the effect of the (R)-HPPA produced during the hydrolysis of (R,S)-HPPA-Me on the activity of Lipase MY, a series of three experiments were performed. Ammonium acetate, 200 ml, 0.04 M, pH 7.0, was combined with 30 ml of toluene, 40 mmoles (R,S)-HPPA-Me, 25 mg Lipase MY, and 0, 30, or 100 mM (R)-HPPA. The reactions were shaken gently for 136 hours and sampled at various times to determine the concentrations of each of the stereoisomers of HPPA which were produced.

C. Effect of Dilution. To determine the effect of substrate concentration on the activity of Lipase MY, reactions containing 25, 175, or 375 ml of water, 0.04 moles HPPA-Me, 0.04 moles ammonium acetate (pH adjusted to 7.0), 30 ml of toluene and 25 mg Lipase MY dissolved in 25 ml of water were carried out. The reactions were shaken for 164 hours. Samples of the aqueous layer were analyzed at various time intervals to determine the concentrations of each of the stereoisomers of HPPA which were produced.

#### 15 Kilogram Scale Reaction

Lipase MY, 25 g, in 1 L of 0.2 N ammonium acetate, pH 6.5, was stirred for 20 minutes, centrifuged at 10,000 X g and the supernatant added to 1.176 kg of (R,S)-HPPA-Me in 9 L of water, 2.5 L of toluene and the mixture was stirred gently for 29 hours. Samples were taken periodically and analyzed by HPLC. At the end of the reaction, the aqueous phase was drawn off and extracted with CH<sub>2</sub>Cl<sub>2</sub>. The aqueous phase was then adjusted to pH 2.1 and extracted with ethyl acetate. Magnesium sulfate was added to remove traces of water. The ethyl acetate was removed under vacuum and the product was obtained as a light tan powder.

#### 25 Results

The HPLC conditions given provided baseline separation of the ester and each of the enantiomeric acids (Figure 14). (R,S)-HPPA-Me eluted at 1.3 min, (R)-HPPA eluted at 8.8 min and (S)-HPPA eluted at 12.4 min.

Under the initial reaction conditions an optical purity of 88% ee was obtained after 35% hydrolysis of (R,S)-HPPA-Me.

The effect of pH on the rate of hydrolysis and enantiospecificity is given in Table 37. The optimum pH for the rate of enzymatic hydrolysis was at 8.0, where a 51% conversion was achieved after 7 hours reaction time (146.6 uM/hr/mg). The rate optimum was fairly broad with hydrolysis rates being nearly the same over the range of 6.5 to 8.5. The optimum pH for enantioselectivity occurred at pH 3-4, where the ee was 88% for (R)-HPPA. The activity at these pH levels was low, however. All further experiments were run at pH 6.5, in order to maintain adequate activity and enantiomeric purity. Controls without enzyme showed that pH values above 7 resulted in increased chemical hydrolysis of (R,S)-HPPA-Me and decreased enantiomeric purity. The enantiomeric purity of the HPPA produced also decreases as the percent conversion approaches 50% (Figure 15). This is due to incomplete specificity of Lipase MY for the HPPA-Me substrate.

An experiment was performed to determine what effect a saturated aqueous solution of (R,S)-HPPA-Me had on the activity of enzyme and the enantiomeric purity of the product. The solubility of HPPA-Me is less than 25 mM at pH 6.5 in aqueous solution, whereas HPPA has a high solubility in aqueous solution at pH 6.5. Both the enantioselectivity and activity of the enzyme suffered when the solution was saturated with (R,S)-HPPA-Me. The enantioselectivity of the enzyme in a (R,S)-HPPA-Me saturated solution was completely destroyed. The activity of the enzyme in 25 mM (R,S)-HPPA-Me substrate at 4% conversion was 6.5 mmols/hr/mg. Compared to the saturated substrate data (Table 38), the activity was 6.5 times higher with a 25 mM solution of HPPA-Me.

The optimum temperature for enzymatic hydrolysis of (R,S)-HPPA-Me at pH 7.0 and 20% hydrolysis, was found to be 21 °C (Table 39). The initial activity is substantially higher at 35 °C or 45 °C, as expected, however, the activity dropped off quickly indicating enzyme inactivation. There was no variation in the enantioselectivity of the enzyme based on differences in the temperature of the reaction.

The products of the hydrolysis of HPPA-Me, methanol and HPPA, were tested independently to determine their effects on the activity of the enzyme. The introduction of 0.5 equivalents of methanol at the start of the hydrolysis reaction resulted in a 39% decrease in activity of the enzyme against HPPA-Me. Addition of various amounts (R)-HPPA at the beginning of a hydrolysis reaction resulted in a 10-67% reduction in enzyme activity (Figure 16). Increasing concentrations of HPPA showed a corresponding

decreased in the activity of the enzyme.

The effect of dilution on the activity of the enzyme in a two phase system is given in Figure 17. The rate is increased substantially by the dilution of the aqueous phase, although the ester resides nearly exclusively in the toluene layer.

- 5 In a kilogram scale reaction, applying the optimum conditions obtained above, the optical purity of the (R)-HPPA produced was 84% ee at 39% conversion, Figure 18. The optical purity of the product obtained in this manner could be increased by recrystallization from a number of organic solvents including ethyl acetate and chloroform.

10

Table 37

15

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Activity and Enantiospecificity of Lipase MY as a Function of the pH of Solution <sup>a</sup>			
pH	% conversion	Activity ( $\mu\text{mol/hr/mg}$ )	% ee
3.0	34	96	89
4.0	33	95	88
4.5	35	100	87
5.0	36	103	86
5.5	38	109	84
6.0	42	121	80
6.5	47	134	78
7.0	46	133	77
7.5	50	142	76
8.0	51	147	67
8.5	48	136	52

<sup>a</sup>(R,S)-HPPA-Me, 196 mg, 100 ml of phosphate buffer at the indicated pH and 0.5 ml of a 1 mg/ml solution of lipase MY prepared by centrifuging at 7500 RPM for 20 minutes were allowed to react for 7 hr and analyzed by HPLC.

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45

50

55

Table 38

Effect of Saturation with (R,S)-HPPA-Me on Enzyme Activity. <sup>a</sup>			
Time (Hrs)	[HPPA] (mM)	Activity $\mu\text{M/hr/mg}$ (% conversion)	(R)-HPPA ee (%)
18	19.8	1.1 (2)	18
45	41.4	.92 (4)	8
65	66.2	1.0 (7)	4
114	114	.8 (11.4)	6

<sup>a</sup>(R,S)-HPPA-Me (1.5 moles) in 1 L of 0.5 M phosphate buffer, pH 7.0 at 40-45 °C and 1 g of Lipase MY were stirred for 114 hours and samples were analyzed by HPLC as noted in Figure 1.

Table 39

Effect of Temperature on Activity and Stereoselectivity. <sup>a</sup>								
Time	12°C		21°C		35°C		45°C	
(Hrs)	[HPPA]	ee (%)	[HPPA]	ee (%)	[HPPA]	ee (%)	[HPPA]	ee (%)
1	-	-	-	-	28	94	31	92
3	-	-	-	-	67	96	52	92
20	-	-	-	-	154	92	101	90
31	115	90	178	-	-	-	-	-
44	201	92	255	94	209	92	162	90
51	202	92	269	94	-	-	-	-
69	227	92	248	90	-	-	-	-
88	253	92	289	88	-	-	-	-

<sup>a</sup>HPPA-Me, 294 g, 1 L of 1M ammonium acetate, pH 7.05, 150 ml of toluene and 2 g of lipase MY were maintained at the indicated temperature. Samples of the aqueous layer were analyzed as in Figure 1.

The accompanying drawings are as follows:

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Figure 10 Effect of stearic acid (0-10%) on lipase MY immobilization.

Figure 11 Stability of Immobilized lipase CSC-1. Figure 12 SDS-PAGE of *C. rugosa* lipases, CSC-2 and ENZECO Rxx.

Figure 13 Preparative isoelectric focusing of lipase MY

Figure 14 Chromatogram

Figure 15 Conversion versus Enantiomeric Excess

Figure 16 HPPA Produced versus Time

Figure 17 HPPA Produced versus Time

Figure 18 Percent Conversion versus Time

#### FIGURE LEGENDS

Figure 1

SDS-PAGE of lipase MY, lipase AY and Biocatalyst lipase. Lanes and 4, Biocatalyst lipase; lanes 2 and 5, lipase AY (Amano); lanes 3 and 6, lipase MY (Meito Sangyo). (see Ex.1)

Figure 2

IEF gel, pl 3-9 of commercial *C. rugosa* lipases. Gel was run for 390 volt-hr. Lane 1, Sigma lipase; lane 2, lipase OF; lane 3, lipase MY; lane 4, lipase AY; lane 5, Biocatalyst lipase; lane 6, pl standards. (see Ex.10)

Figure 3

SP-TrisAcryl M chromatography of lipase MY. (*C. rugosa*). Lipase MY (1.0gm) in 25 mM NaH<sub>2</sub>PO<sub>4</sub> pH 3.3 was applied to a 2.5 X 20 cm column equilibrated with 25 mM NaH<sub>2</sub>PO<sub>4</sub> pH 3.3. Protein was eluted

as two fractions using citrate phosphat buffer pH 4.75 followed by citrate phosphate buffer pH 6.80. (see Ex. 11).

Figure 4

IEF gel, pl 3-9 of SP-TrisAcryl M lipase MY column fractions. Lane 1, lipase MY; Lane 2, pass through fraction; lane 3, peak 1 protein; lane 4, peak 2 protein; lane 5, peak 3 protein; lane 6, pl standards 3-9. Gel was silver stained. (see Ex.11).

Figure 5

SP-Sephadex chromatography of lipase MY ( *C. rugosa* ). Lipase MY (1.30gm) in citrate-phosphate buffer pH 3.3 was applied to a 2.5 X 20 cm column in the same buffer. Stepwise elution was carried out with citrate-phosphate buffer pH 4.70, followed by citrate-phosphate buffer pH 6.80.

Figure 6

IEF GEL, pl 3-9 of SP-TrisAcryl LS lipase MY column fractions. Lanes 1 and 8 are IEF pl standards; lanes 2 and 3 are samples of lipase MY; lanes 4 and 5 are protein pool eluted with pH 6.80 buffer (CSC-1); lanes 6 and 7 are the protein pool eluted with pH 4.75 (CSC-2). Gel was silver stained. (see Ex.13).

Figure 7

SP-TrisAcryl LS chromatography of lipase MY. The column (9 X 45 cm) was equilibrated in 25 mM sodium phosphate, pH 3.2. Lipase MY (150 gm) was dissolved in 3.0 liter of 25 m  $\text{NaH}_2\text{PO}_4$  pH 6.5, the solids were removed and the pH adjusted to 3.0. Details of elution are described in the legend to figure 3. (see Ex.14).

Figure 8

Effect of organic acids on the immobilization of lipase MY. Lipase MY (0.45 gm), Amberlite DP-1 (0.9g) and XAMA-7 (100 mg) were mixed in 10 ml of toluene containing 30-50 mg of a saturated acid. After curing, hydration and washing, the enzyme was assayed for HPPA-Me ester hydrolytic activity. (see Ex.26).

Figure 9

Effect of stearic acid (0-20%) on lipase MY immobilization. Lipase MY was immobilized as described in legend to figure 8 using various stearic acid concentrations. HPPA-Me ester hydrolytic activity was assayed. (see Ex.27).

Figure 10

Effect of stearic acid (0-10%) on lipase MY immobilization. Lipase MY and DP-1 resin were mixed and lyophilized from a pH 4.5 buffer prior to addition to the toluene, containing the XAMA-7 and the stearic acid. Solvent was evaporated to effect polymerization. Activity was assayed as in legend to figure 8. (see Ex.28).

Figure 11

Stability of Immobilized lipase CSC-1. Isolated lipase CSC-1, immobilized using XAMA-7 and Amberlite DP-1 resin (see Ex.13) was used as a catalyst to convert 25 mM HPPA-Me ester. The column (1 X 24 cm) was operated at 0.75 ml/min in the presence of 1.0 gm/l sodium dithionite. Enzymatic activity was assayed daily. (see Ex.33).

Figure 12

SDS-PAGE of *C. rugosa* lipases, CSC-2 and ENZECO Rxx. Protein samples were separated on a 8-15% polyacrylamide gradient gel. Lane Enzeco Rxx lipase; lane 2, CSC-2 lipase; lane 3, molecular weight standards. The major 60K dalton lipase band is marked by an arrow (see Ex. 57).

Figure 13

Preparative isoelectric focusing of lipase MY. Lipase MY (400 mg) was desalted and solids removed. Sample was combined with 2 ml of ampholytes (pH 2.5-5) and focused at 12 watts at 4 °C for 4 hours.

Figure 14

Chromatogram of (R,S)-HPPA, (R)-HPPA and (S)-HPPA. Chromatographic conditions: Column, Resovosil BSA-7, Macherey-Nagel, mobile phase, 5% n -propanol (v/v) in 10 mM  $\text{KH}_2\text{PO}_4$ , pH 5.0 buffer; flow rate, 2.7 ml min<sup>-1</sup>, temperature 22 °C, UV detection at 220 nm.

Figure 15

Effect of approaching theoretical limit of conversion on ee.

Figure 16

Effect of HPPA on the hydrolysis of HPPA-Me. Ammonium acetate, 200 ml, 0.04 M, pH 7.0, was combined with 30 ml of toluene, 40 mmoles HPPA-Me, 25 mg of Lipase MY, and the indicated quantity of (R,S)-HPPA. The reactions were sampled as indicated and analyzed as in Figure 1.

Figure 17

Effect of dilution on enzyme rate. The indicated amount of water, 0.04 moles HPPA-Me, 0.04 moles ammonium acetate, pH 7, 30 ml of toluene and 25 mg Lipase MY in 25 ml of water were allowed to react

for 164 hours. Samples of the aqueous layer were analyzed as in Figure 1.

#### Figure 18

Kilogram scale production of (R)-HPPA. Lipase MY, 25 g, 1 L of 0.2 N ammonium acetate, pH 6.5, 9 L of water, 2.5 L of toluene and 1.176 kg of (R,S)-HPPA-Me were allowed to react for 29 hrs. Samples were analyzed by HPLC as in Figure 1.

As will be evident from the foregoing, various modifications are contemplated in the practice of the invention. Accordingly, the scope of the invention is defined in the following claims wherein:

#### 10 Claims

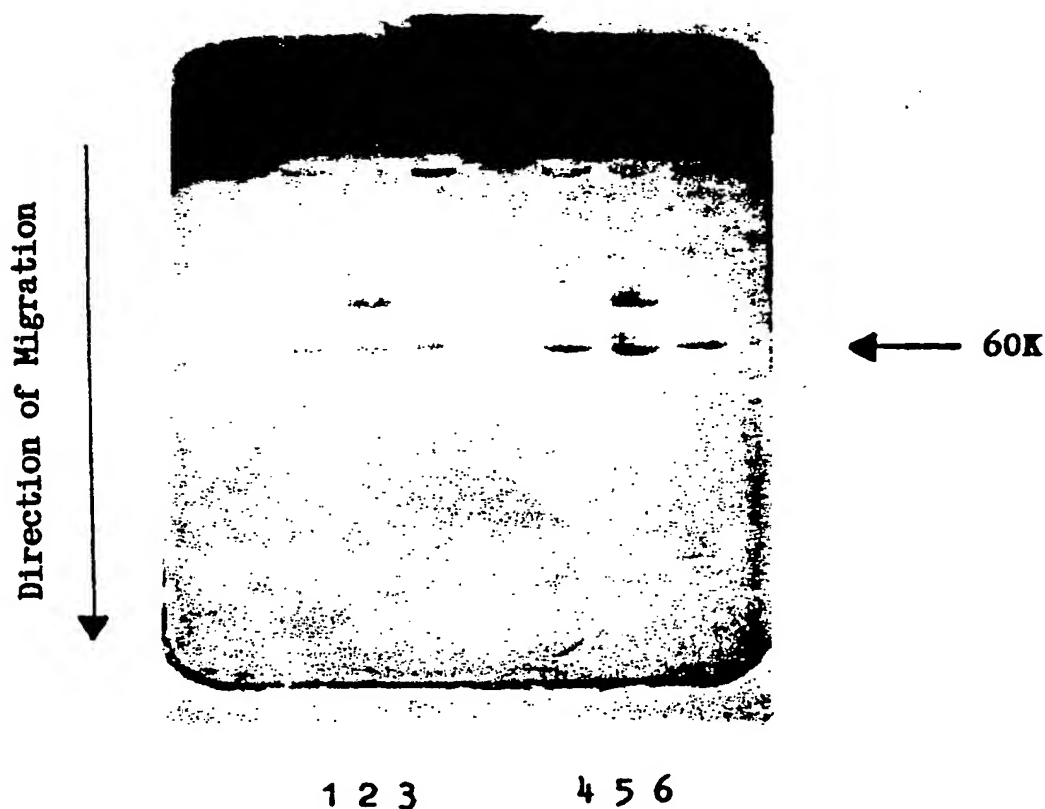
1. A process for hydrolyzing esters, transesterifying esters or acids or esterifying acids or alcohols which comprises contacting said ester, or acid or alcohol with a lipase or an isozyme of *Candida rugosa*.
2. A process for stereoselectively hydrolyzing racemic mixtures of esters 2 - substituted acids, other than 2-halo propionic acids, at high enantiomeric excess, into acids, comprising contacting said racemic mixture with a lipase of *Candida rugosa* in the presence of an organic solvent, preferably an aromatic solvent.
3. A process for purifying an enzyme which comprises immobilizing a mixture of enzymes.
4. A process for purifying an enzyme of *Candida rugosa* which comprises immobilizing a mixture of enzymes prepared therefrom, especially when purification brings about an increase in activity and/or stereoselectivity.
5. A process for purifying an enzyme of *Candida rugosa* which comprises immobilizing a mixture of enzymes prepared therefrom and using said mixture repeatedly, preferably in an organic solvent.
6. A process for purifying and separating the enzymes of the lipase of *Candida rugosa* which comprises using ion exchange chromatography; and separating the resulting lipase isozymes with an appropriate elution scheme such as a stepwise pH scheme or a pH gradient scheme.
7. The process of claim 6 wherein said ion exchange chromatography further comprises using a chromatography support derivatized with a strong acid, especially wherein the chromatography support is a sulfopropyl derivatized polymer of N-acryloyl-2-amino-2-hydroxy-1,3-propanediol, a sulfopropyl derivatized cross linked dextran, a sulfopropyl derivatized cross linked dextran with N,N'-methylenebisacrylamide polymer or a sulfopropyl derivatized agarose.
8. A process for purifying and separating enzymes of the lipase of *Candida rugosa* which comprises using isoelectric focusing.
9. A lipase isozyme having an N terminal amino acid sequence of:  
Ala-Pro-Thr-Ala-U-Leu-Ala-Asn-Gly-V-Thr-Ile-Thr-Gly-Leu-Asn-Ala-Ile-Ile-Asn-Glu-Ala-Phe-Leu-Gly-Ile-W-X-Ala-Glu-Pro-Pro-Y-Z-Asn-P  
wherein U, V, W, X, Y and Z are amino acids and P is the remaining portion of the peptide.
10. A lipase isozyme of claim 9 having an N terminal amino acid sequence of:  
Ala-Pro-Thr-Ala-Lys-Leu-Ala-Asn-Gly-V-Thr-Ile-Thr-Gly-Leu-Asn-Ala-Ile-Ile-Asn-Glu-Ala-Phe-Leu-Gly-Ile-Pro-Phe-Ala-Glu-Pro-Pro-Val-Gly-Asn-P,  
wherein V is an amino acid and P is the remaining portion of the peptide, or having an N terminal amino acid sequence of:  
Ala-Pro-Thr-Ala-Thr-Leu-Ala-Asn-Gly-Asp-Thr-Ile-Thr-Gly-Leu-Asn-Ala-Ile-Ile-Asn-Glu-Ala-Phe-Leu-Gly-Ile-W-X-Ala-Glu-Pro-Pro-Y-Z-Asn-Leu-Phe-Ile-ZZ-Leu-P,  
wherein W, X, Y, Z, and ZZ are amino acids and P is the remaining portion of the peptide.
11. The process of claim 1 or claim 2 wherein the lipase contains one or more of the isozymes defined in claim 9 or claim 10.
12. A process for stereoselectively hydrolyzing esters, transesterifying esters or acids or esterifying acids or alcohols, using a lipase isozyme.
13. A process for hydrolyzing esters, transesterifying esters or acids or esterifying acids or alcohols which comprises carrying out the reaction in the presence of a lipase isozyme as defined in claim 9 or claim 10.
14. The process according to any of claims 1, 2 or 11 to 13 wherein said isozyme is immobilized.
15. The process of claim 14 wherein said isozyme is immobilized using a polyaziridine for immobilization.
16. The process of claim 15 wherein said isozyme is immobilized using an ion exchange resin as a support.
17. The process of claim 16 wherein the ion exchange resin is substituted with a carboxylic acid group.
18. The process of any one of claims 15 to 17 wherein said isozyme is immobilized in the presence of an organic acid.
19. The process of claim 23 wherein said organic acid has a chain length greater than seven carbon atoms preferably 12 to 18 carbon atoms especially stearic acid.

20. The process of any one of claims 14 to 17 wherein the isozyme is immobilized in the presence of polyvinyl alcohol.
21. The process of claim 15 wherein said isozyme is immobilized on silica.
22. The process of any one of claims 1, 2 or 11 to 21 carried out in the presence of an organic solvent, preferably toluene.
23. The hydrolysis process of any one of claims 1,2 or 11 to 22 wherein the ester is an ester of a 2-aryloxypropionic acid or a 2-arylpropionic acid.
24. The process of claim 23 wherein the ester is an ester of 2-(4-hydroxyphenoxy) propionic acid, preferably a methyl or ethyl ester.
25. The process of claim 23 or claim 24 wherein a reducing agent is added.
26. The process of claim 25 wherein the reducing agent is sodium hydrosulfite, sodium sulfite, or a borohydride.
27. A hydrolysis or transesterification process of claim 22 wherein the organic solvent is an aliphatic organic solvent, notably oleic acid.
28. A hydrolysis or transesterification process of any one of claims 1, 2 or 11 to 27 wherein the ester is an ester of ketoprofen or ibuprofen.
29. A hydrolysis process of claim 28 wherein the ketoprofen ester is methyl ethyl, n-propyl, n-butyl, n-hexyl, or n-octyl or other alkyl ester.
30. The process of claim 29 wherein the ketoprofen ester is a halogen-substituted ester of ketoprofen such as 2-chloroethyl, 2,2,2-trichloroethyl, or 2,2,2-trifluoroethyl ester or the monoglyceryl, 2-hydroxyethyl, diethylene glycol, or triethylene glycol monomethyl or other hydroxylated esters.
31. A hydrolysis process of any one of claims 1,2 or 11 to 27 wherein the ester is an ester of fenoprofen, 2-phenylpropionic acid, or indoprofen.
32. A process wherein the stereoselectivity or rate of hydrolysis of a mixture of racemic esters by an enzyme especially a lipase or isozyme, is increased upon the addition of a fatty acid or fatty acid ester.
33. A hydrolysis or transesterification process of any one of claims 1, 2 or 11 to 32 wherein an additive comprising a fatty acid or a fatty acid ester is used wherein the rate or stereoselectivity of the reaction is increased.
34. The process of claim 33 wherein the additive is selected from oleate esters, vegetable oils, animal oils or oleate containing surfactants, oleic acid or linoleic acid methyl ester.
35. The process of claim 34 wherein the alcohol moiety of the ester of the racemic mixture contains an electron withdrawing group or one or more free hydroxyl groups, or is a 2-chloroethyl ester.
36. The process of claim 35 wherein the racemic mixture of esters is composed of ketoprofen monoglyceride, ketoprofen 2-hydroxyethyl ester, ketoprofen diethylene glycol or ketoprofen triethylene glycol monomethyl ether.
37. A process for hydrolysing or transesterifying mono-di-or triglycerides comprising contacting said glyceride with a lipase isozyme either in the free or immobilized state, with or without an organic solvent.
38. A process for esterifying mono-, di-, tri- or polyfunctional alcohols comprising contacting said alcohol with a lipase isozyme either in the free or immobilized state, with or without an organic solvent.

# FIG. 1

SDS-PAGE of lipase MY, lipase AY and Biocatalyst lipase.

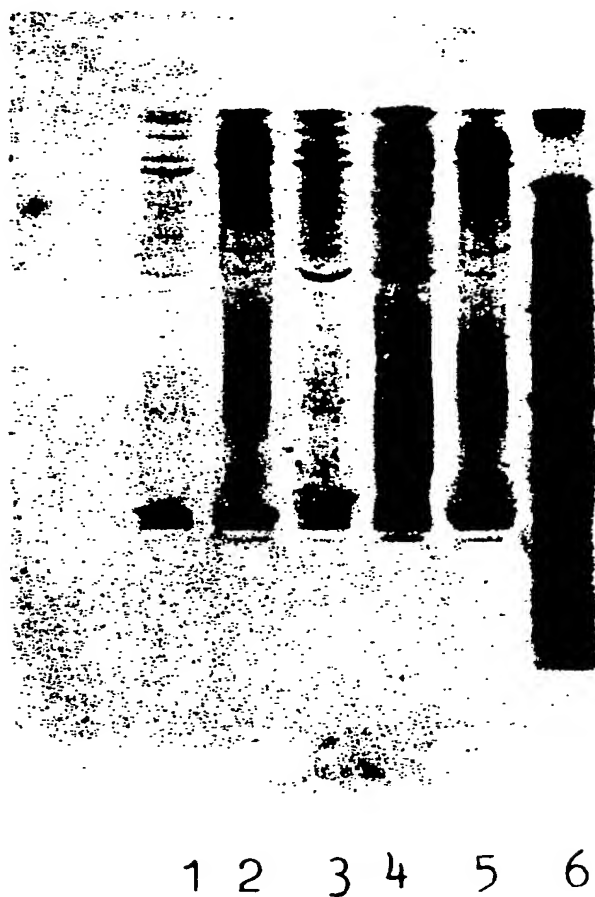
SDS-PAGE 10-15% Gel



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## FIG. 2

IEF gel, pl 3-9 of commercial *C. rugosa* lipases.

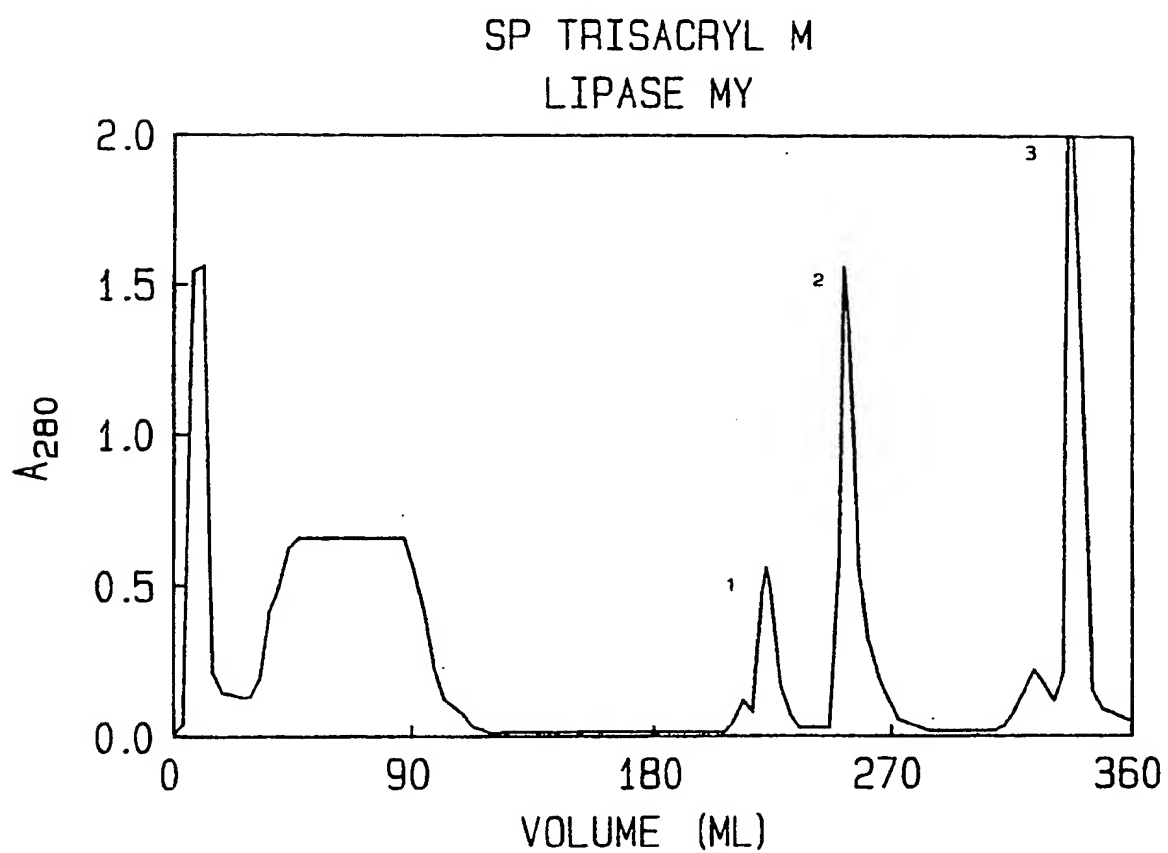


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# *F I G. 3*

SP-TrisAcryl M chromatography of lipase MY.



# *FIG. 4*

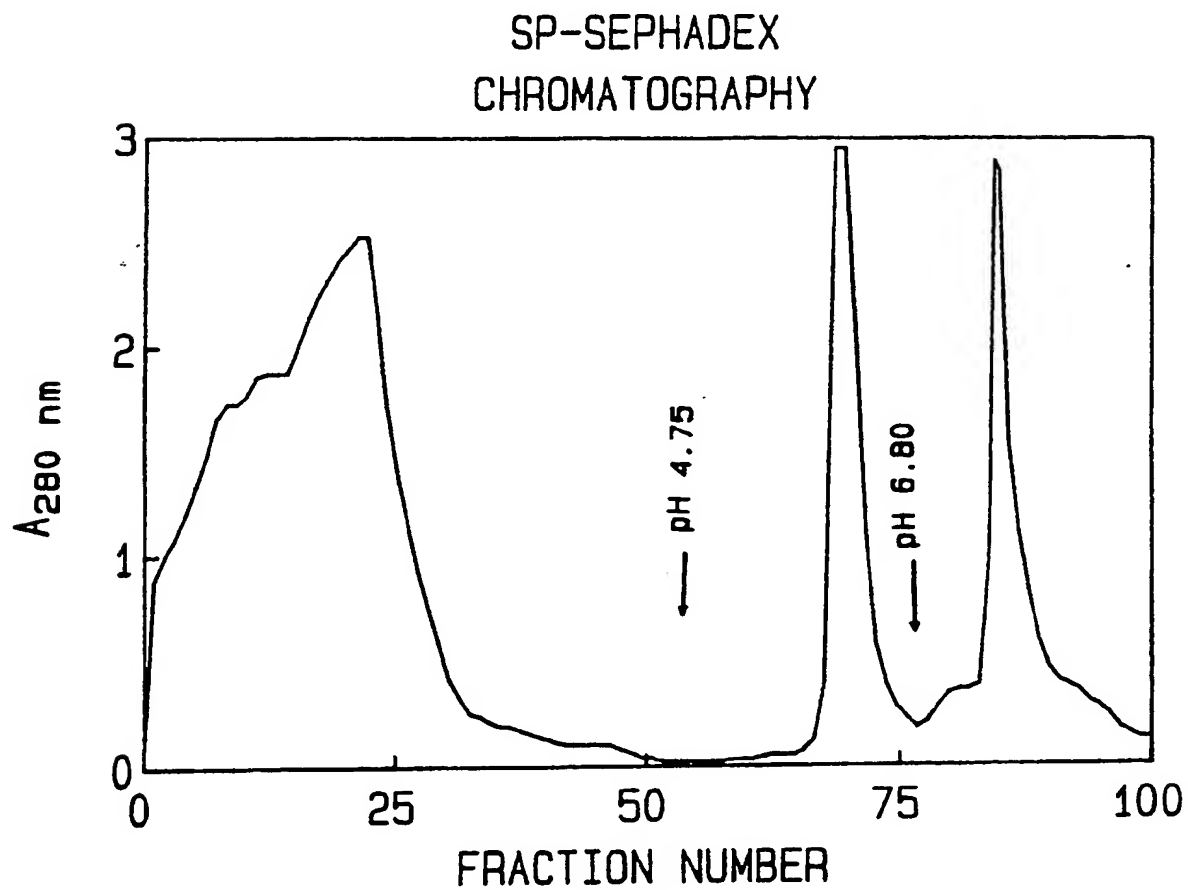
IEF gel, pl 3-9 of SP-TrisAcryl M lipase MY column fractions.



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# FIG. 5

SP-Sephadex chromatography of lipase MY.



# FIG. 6

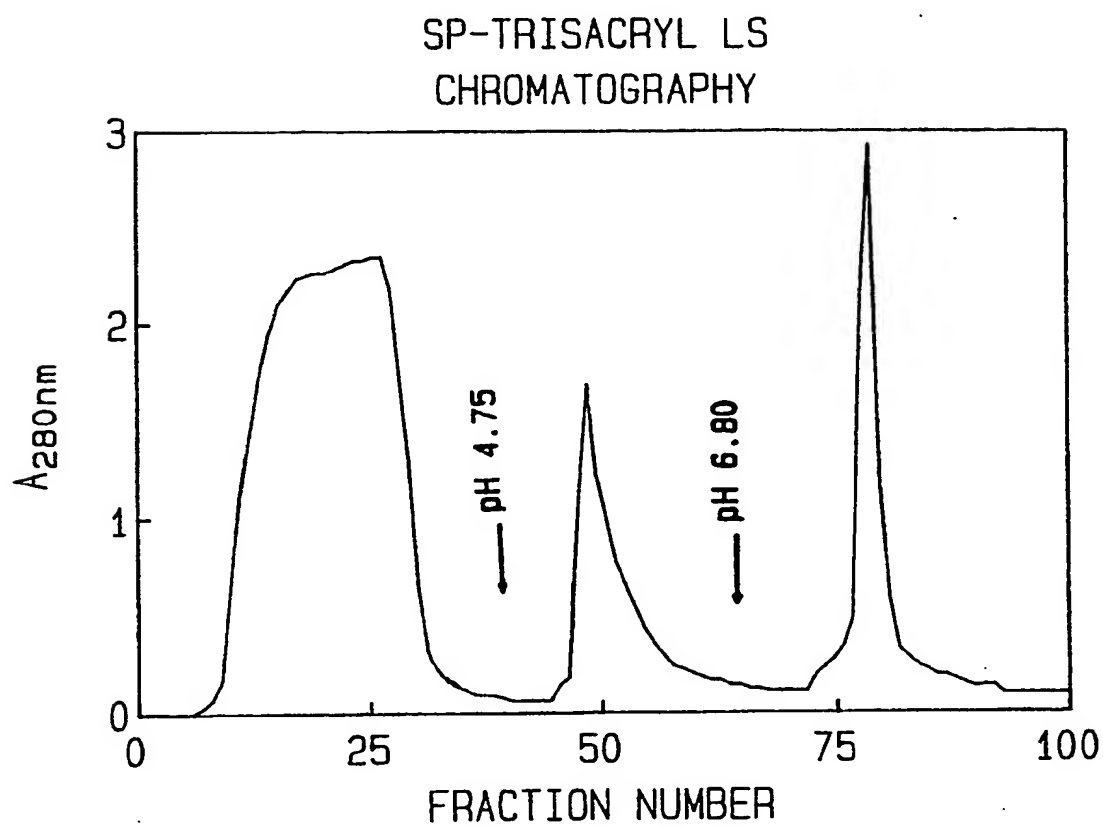
IEF GEL, pl 3-9 of SP-TriAcryl LS lipase MY column fractions.



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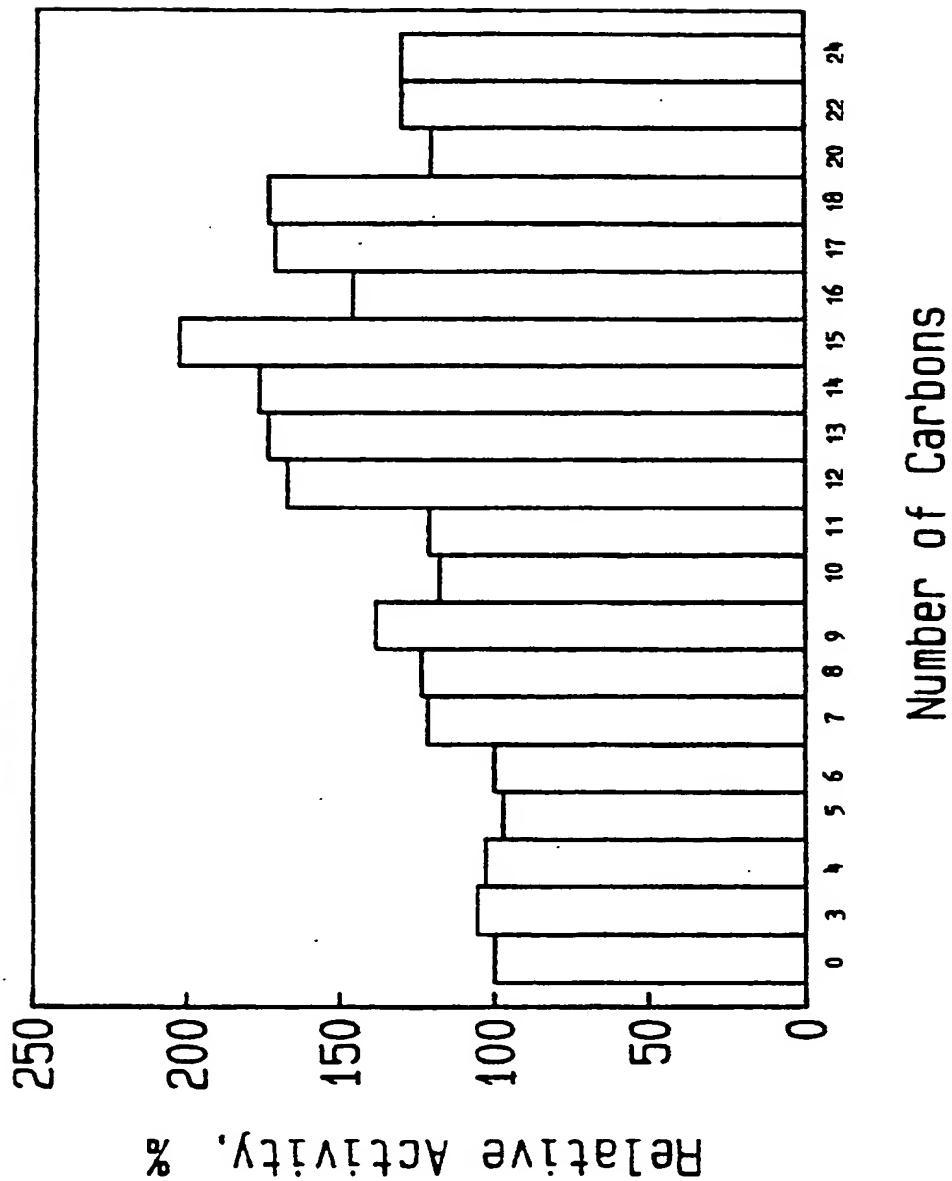
# FIG. 7

SP-TrisAcryl LS chromatography of Ilpase MY.



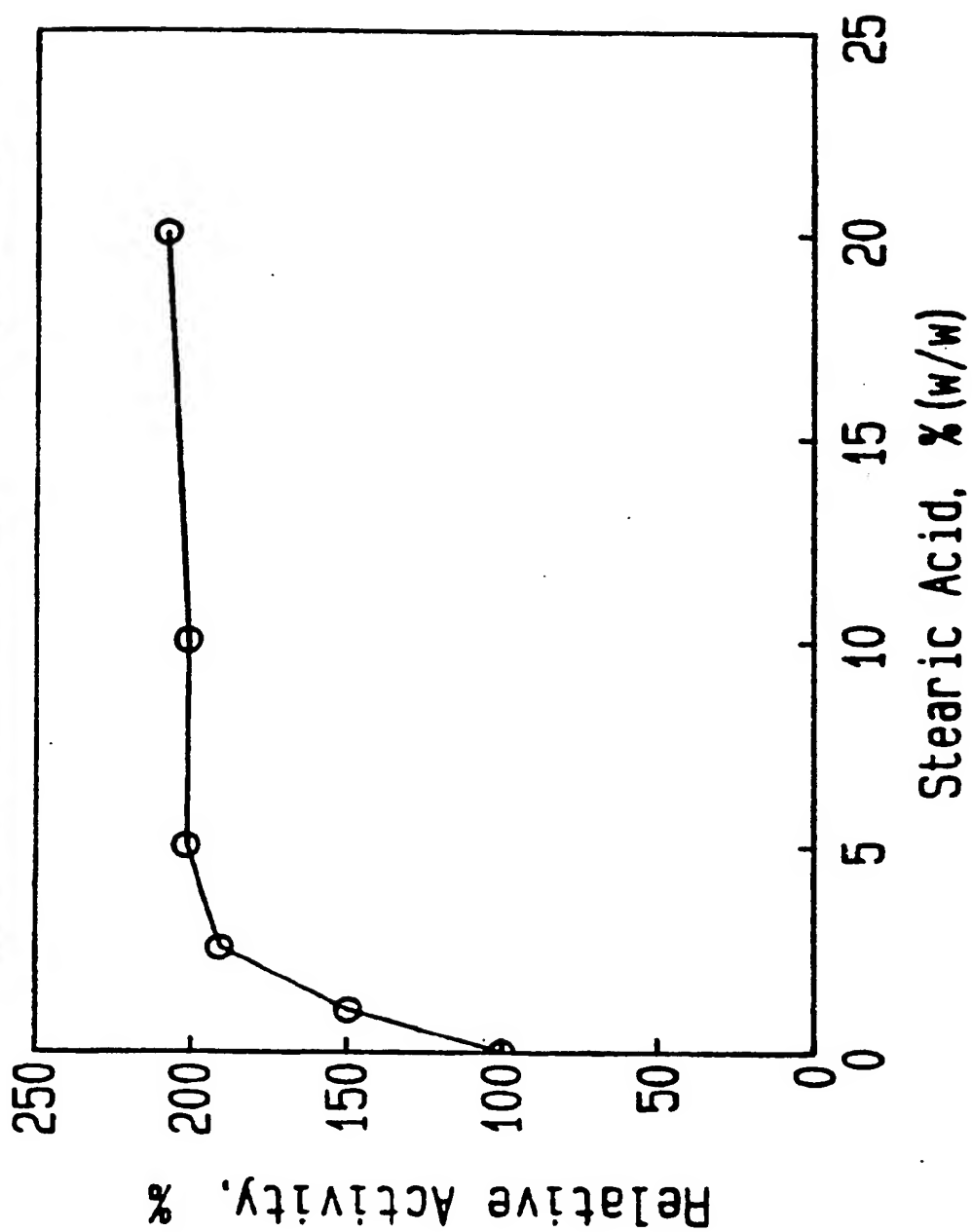
# *F / G. 8*

Effect of organic acids on the Immobilization of lipase MY.



# FIG. 9

Effect of stearic acid (0-20%) on lipase MY Immobilization.



**FIG. 10**

Effect of stearic acid (0-10%) on lipase MY immobilization.

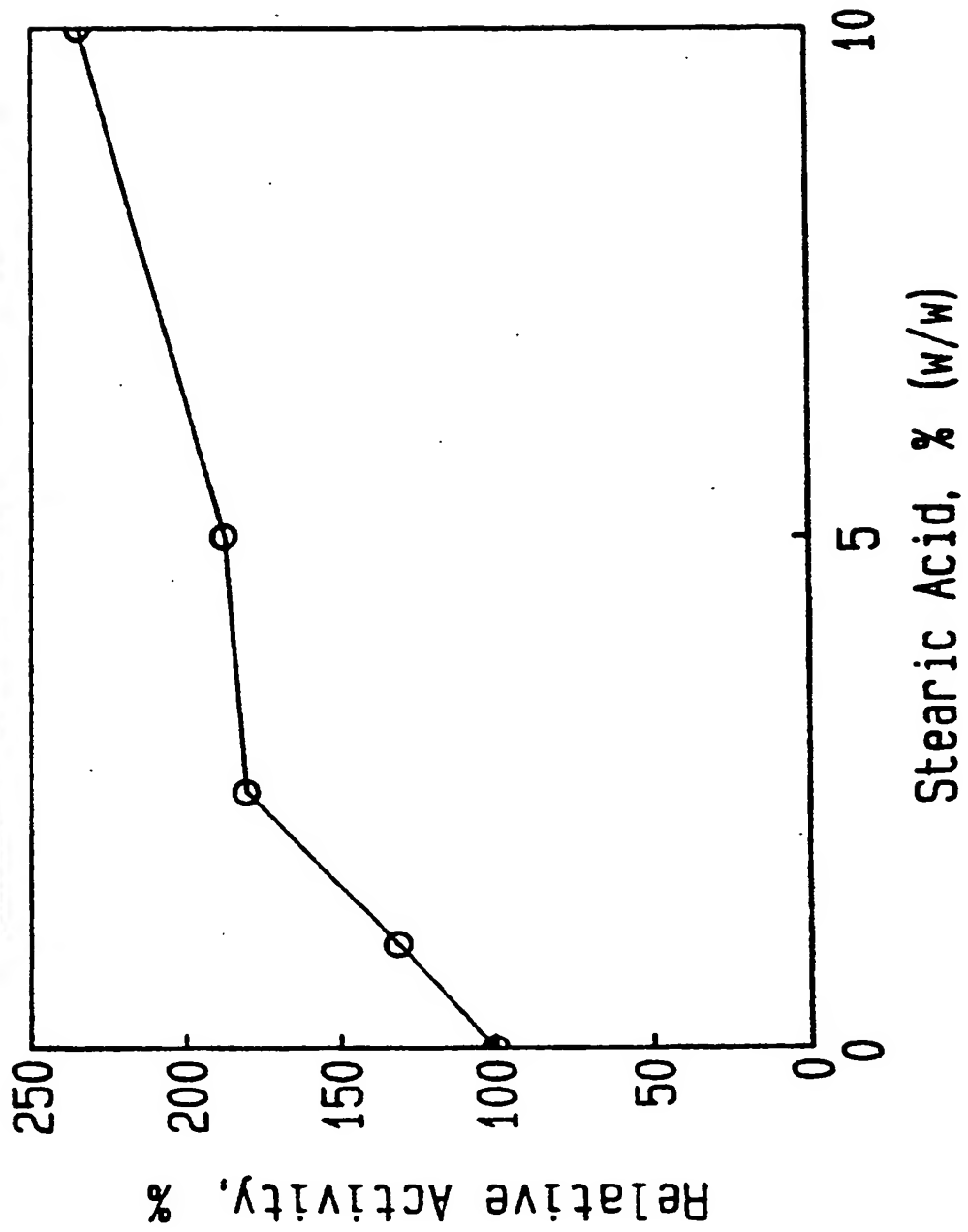
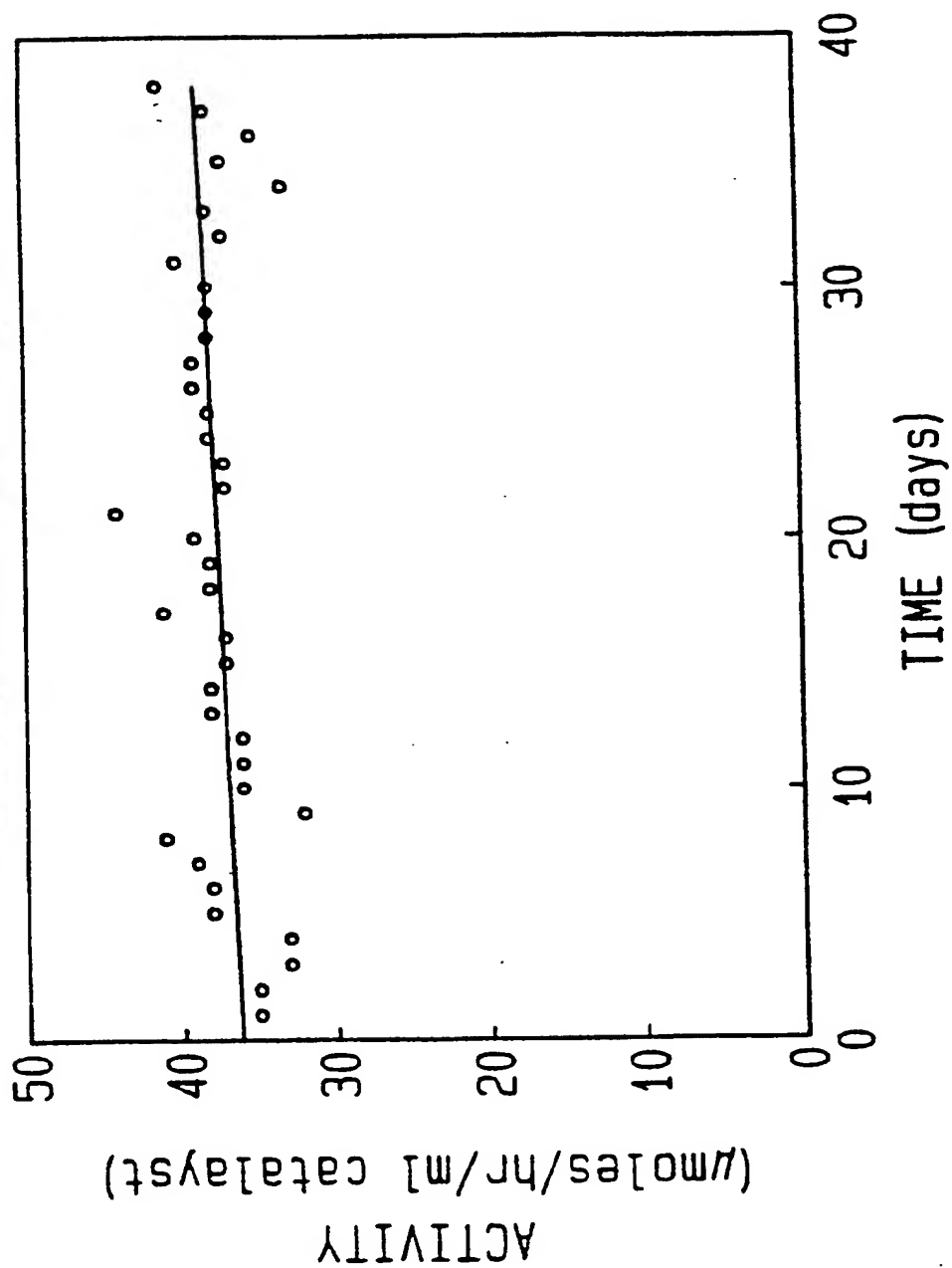




FIG. 11

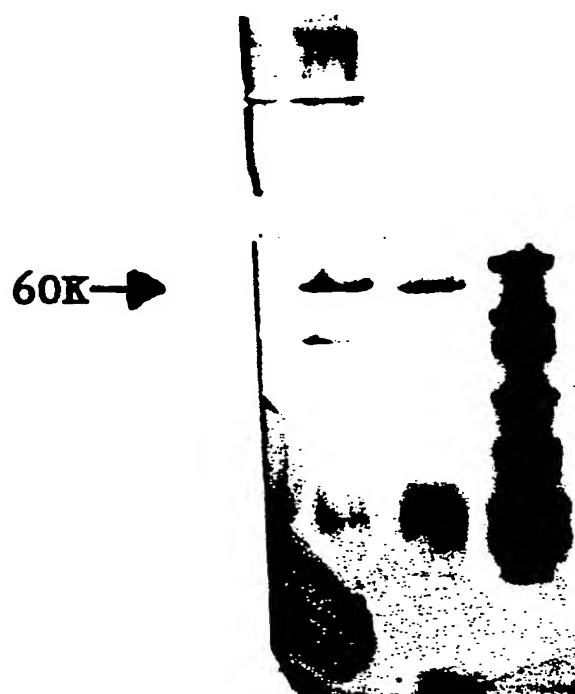
Stability of Immobilized lipase CSC-1.



# FIG. 12

SDS-PAGE of *C. rugosa* lipases, CSC-2 and ENZECO Rxx.

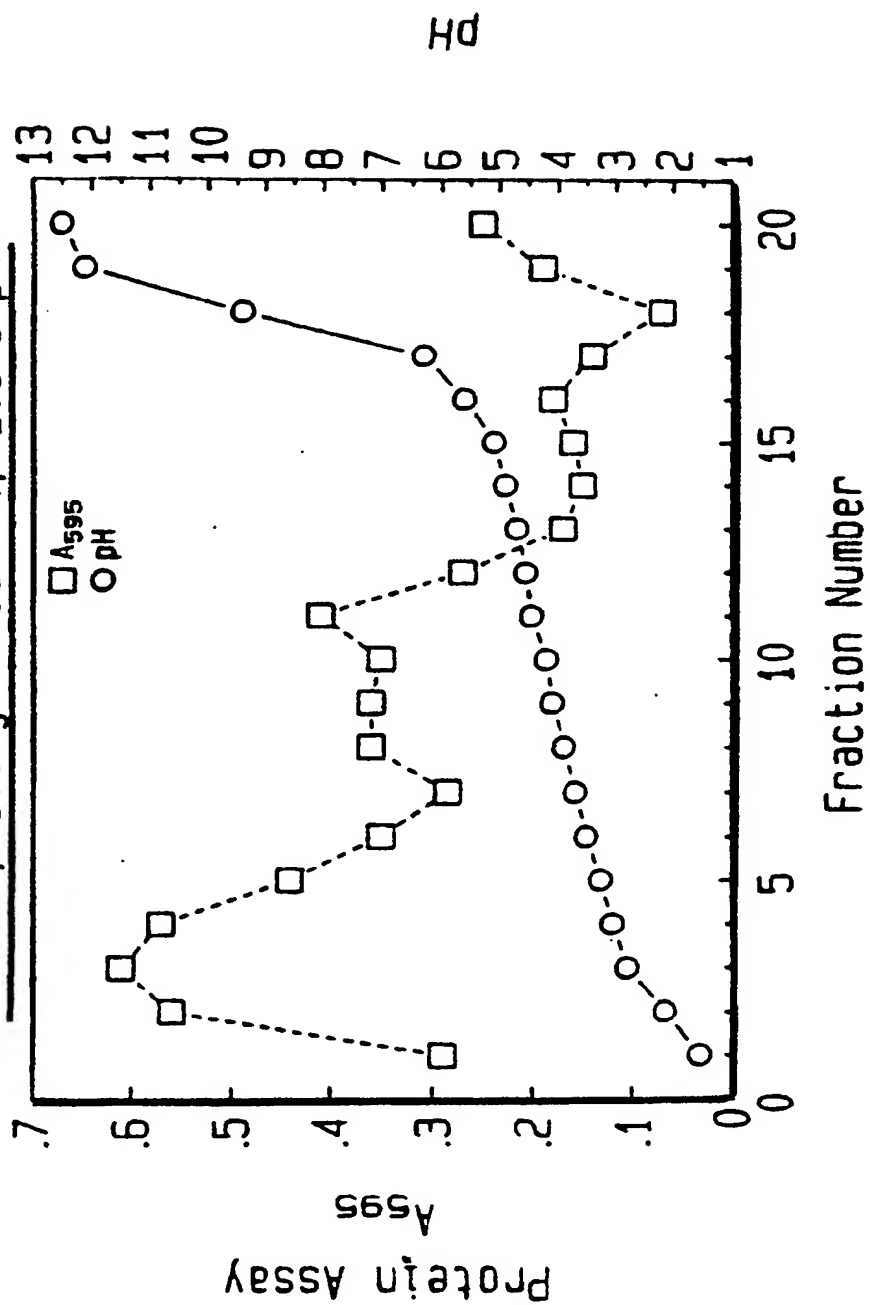
SDS-PAGE 8-15% Gel



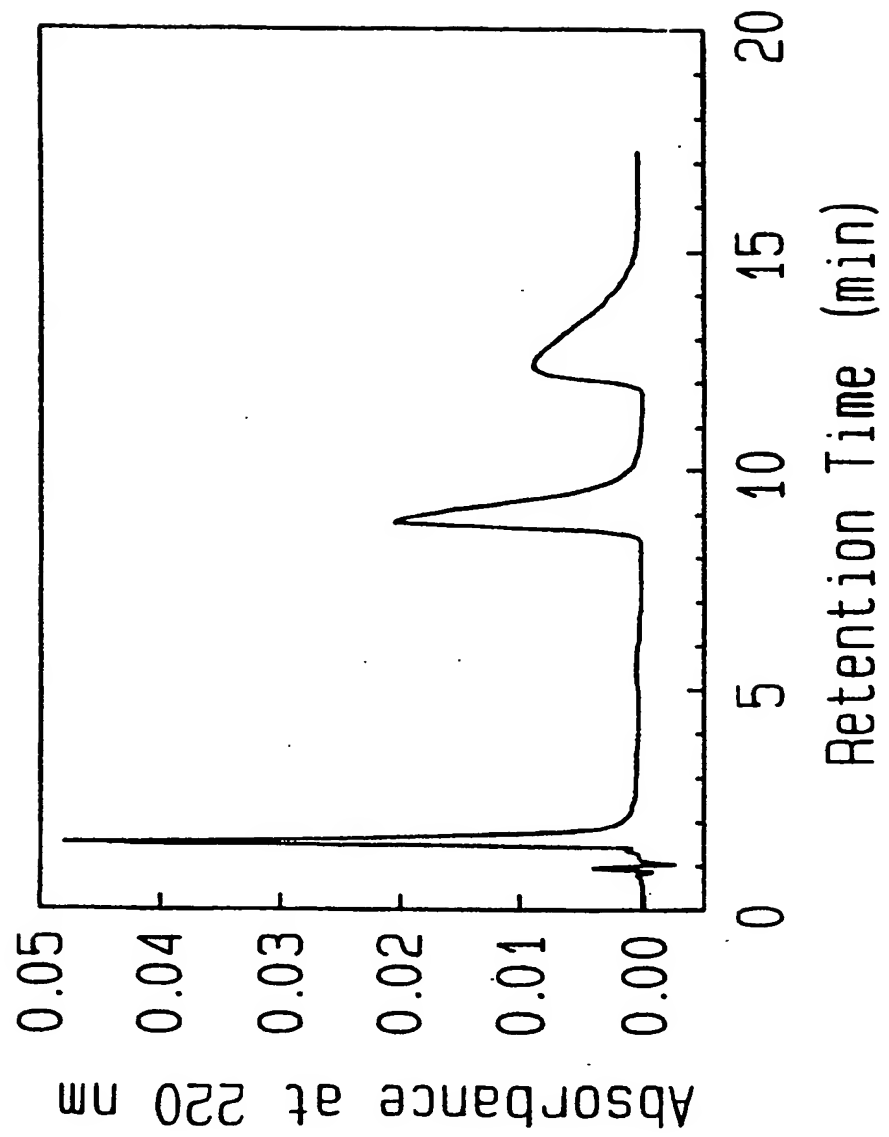
# FIG. 13

Preparative IEF, Rotofor Cell

4hr run, 500mg GF-05 Fr., 2.5-5 pH



**FIG. 14**



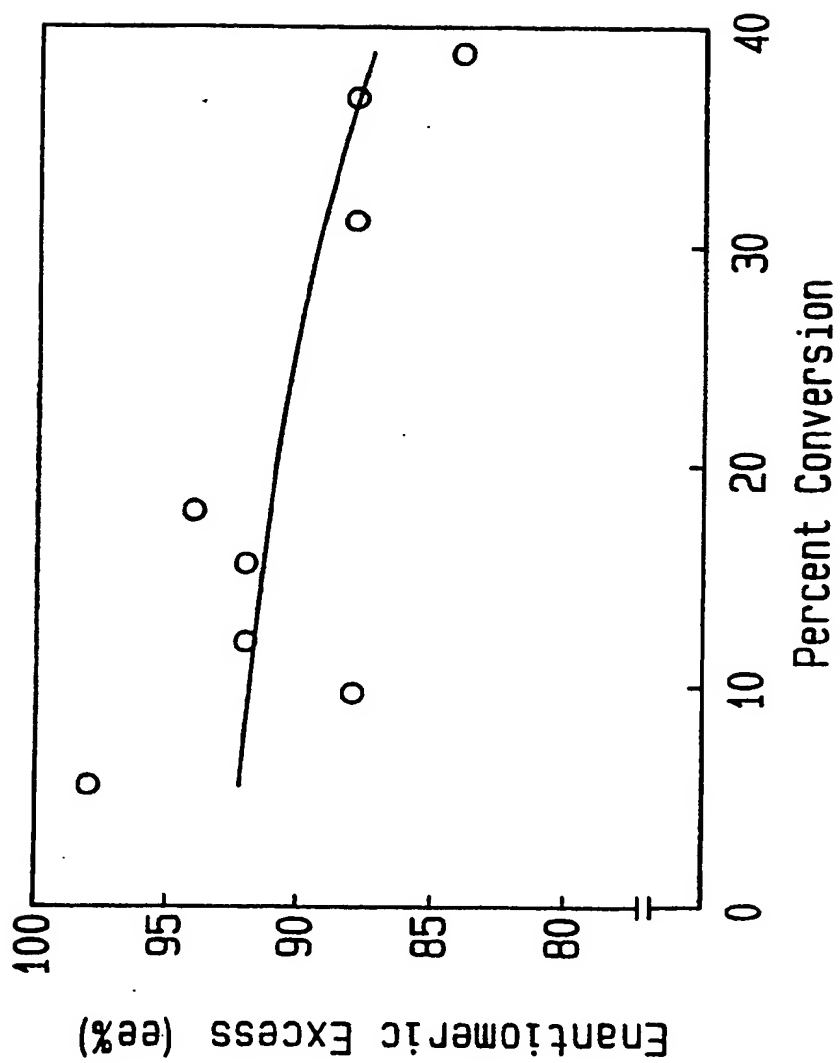
*F I G. 15*

FIG. 16

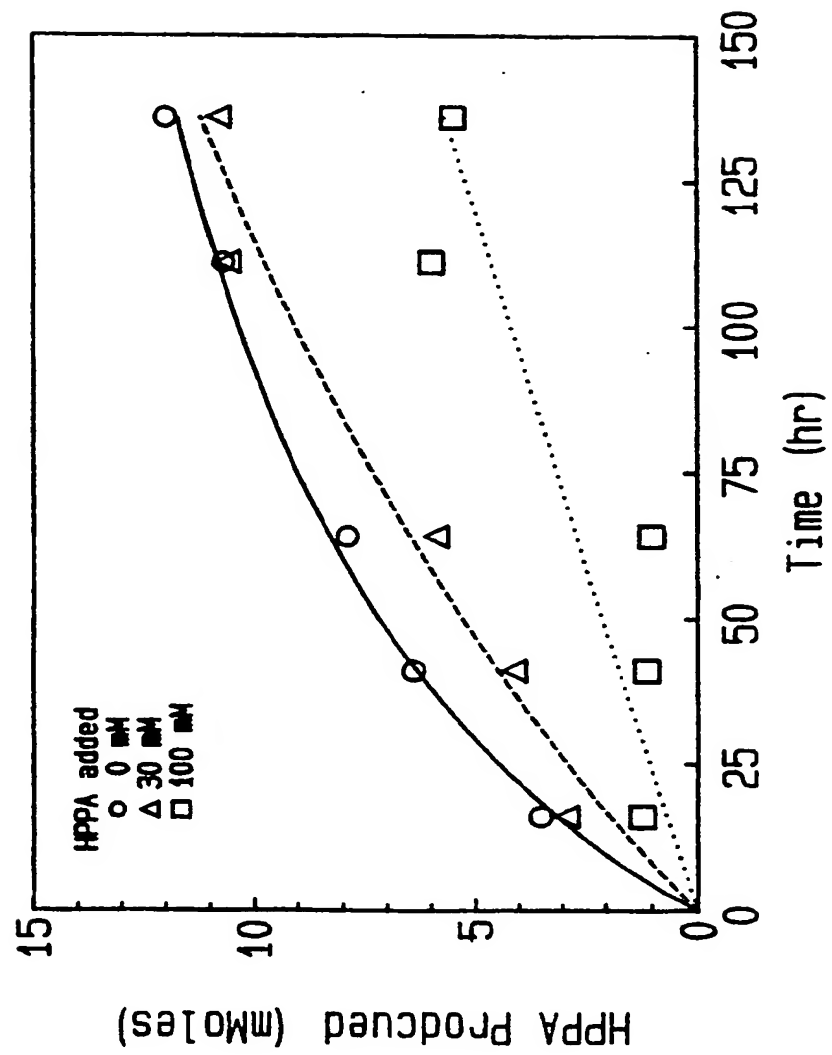


FIG. 17

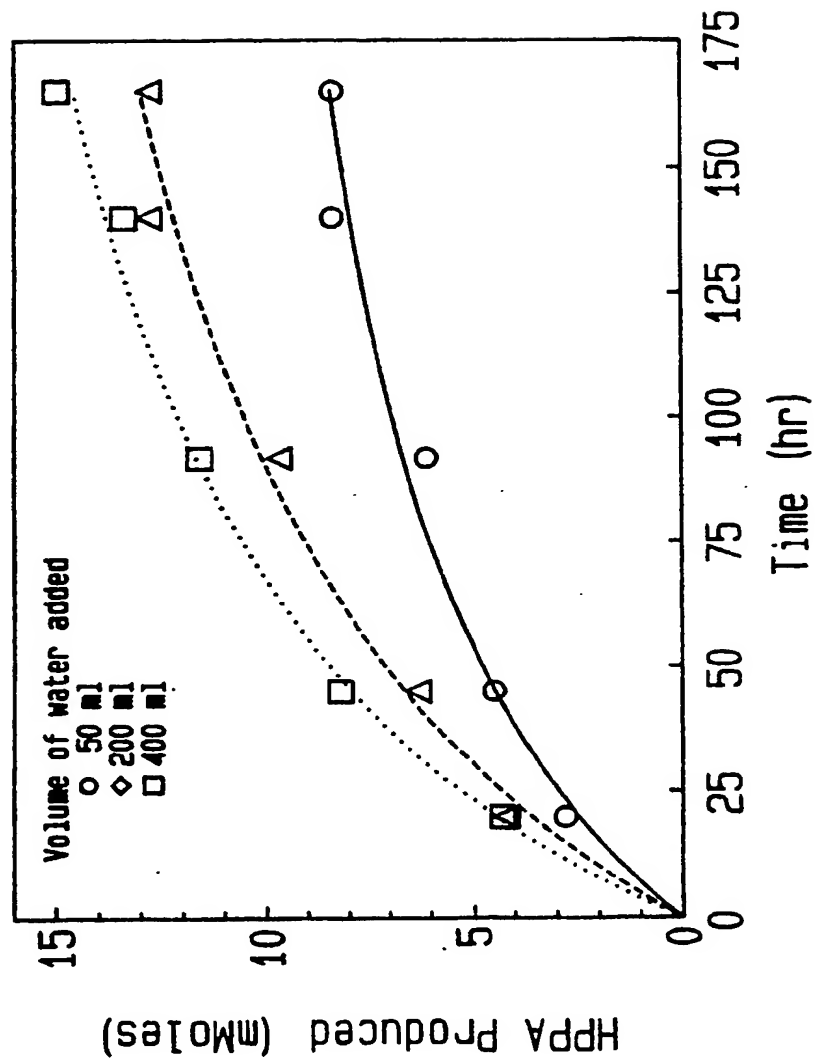
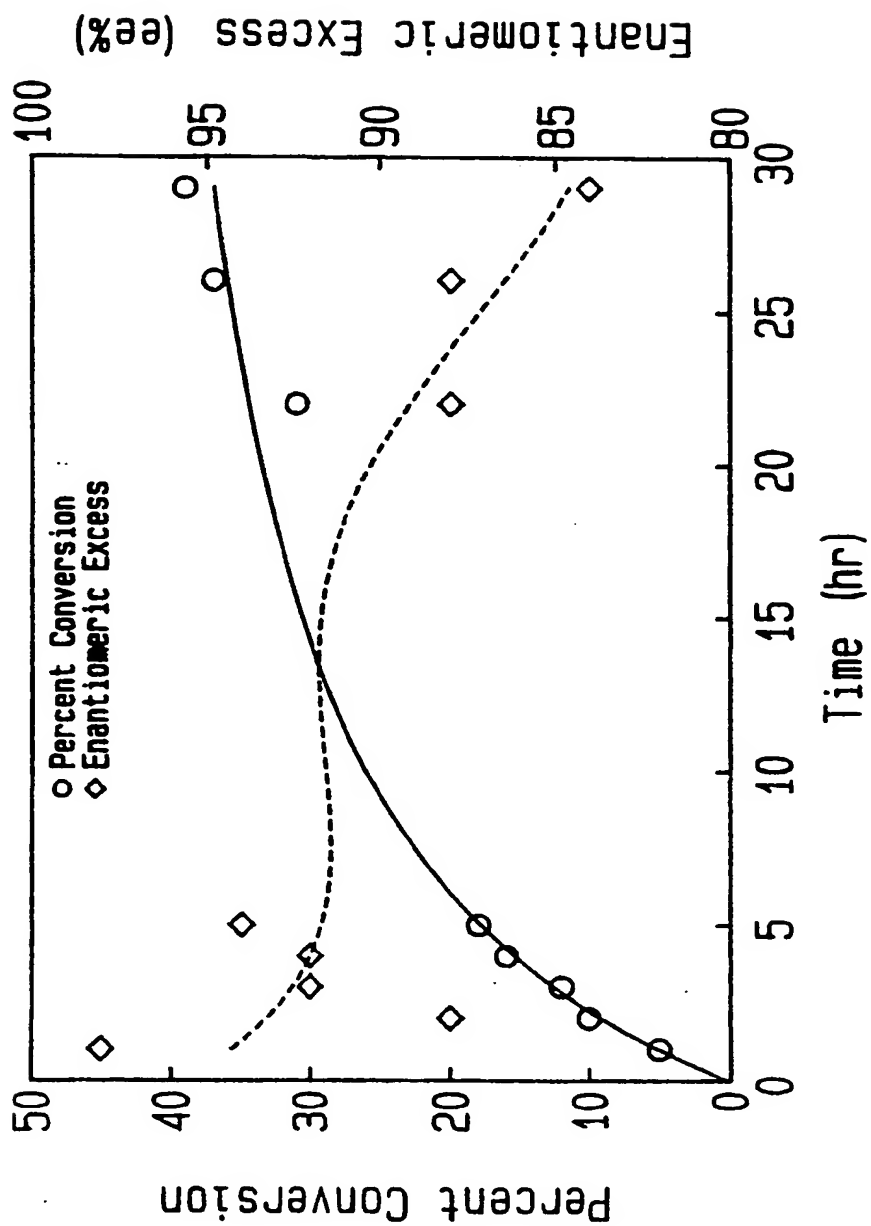


FIG. 18





(19)



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**London EC4A 1BQ(GB)**(54) **Lipase and isozymes, notably of *Candida rugosa*, and their use.**

(57) Process for stereoselectively hydrolyzing esters or esterifying acids with lipase preparations of *Candida rugosa* in aqueous/organic systems, where the acid is not substituted at the 2-position with a halogen, e.g. process for the production of R-2-(4-hydroxyphenoxy)propionic acid at high enantiomeric excess. Additionally disclosed is a process for separating and purifying the isozymes from the lipase of *Candida rugosa* and their use for the stereoselective hydrolysis of esters, transesterification of esters and esterification of acids.

**EP 0 407 033 A3**



European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number

EP 90 30 6098

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. CL.5)
D,X	EP-A-0 196 625 (STAUFFER CHEMICAL CO.) * Whole document * ---	1,2,22-24	C 12 N 9/18 C 12 P 7/40
X	EP-A-0 214 569 (HOECHST AG) * Whole document * ---	1,2,22-24	C 12 P 7/64 C 12 P 41/00
X	EP-A-0 227 078 (WISCONSIN ALUMNI RESEARCH) * Whole document, especially page 3, lines 51-56 * ---	1,2,22,23,27-31	
D,X	TETRAHEDRON LETTERS, vol. 27, no. 16, 1986, pages 1763-1766, Pergamon Press Ltd, GB; Q.-M. GU et al.: "A facile enzymatic resolution process for the preparation of (+)-S-2-(6-methoxy-2-naphthyl)propionic acid (naproxen)" * Whole article * -----	1,23,25	
			TECHNICAL FIELDS SEARCHED (Int. CL.5)
			C 12 P C 12 N
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 10-09-1990	Examiner HUBER A.
CATEGORY OF CITED DOCUMENTS			
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons ----- & : member of the same patent family, corresponding document	

EPF FORM 1503 03.02 (7/90)



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## CLAIMS INCURRING FEES

The present European patent application comprised at the time of filing more than ten claims.

- ☐ All claims fees have been paid within the prescribed time limit. The present European search report has been drawn up for all claims.
- ☐ Only part of the claims fees have been paid within the prescribed time limit. The present European search report has been drawn up for the first ten claims and for those claims for which claims fees have been paid, namely claims:
- ☐ No claims fees have been paid within the prescribed time limit. The present European search report has been drawn up for the first ten claims.

## X LACK OF UNITY OF INVENTION

The Search Division considers that the present European patent application does not comply with the requirement of unity of invention and relates to several inventions or groups of inventions, namely:

See sheet -B-

- ☐ All further search fees have been paid within the fixed time limit. The present European search report has been drawn up for all claims.
- ☐ Only part of the further search fees have been paid within the fixed time limit. The present European search report has been drawn up for those parts of the European patent application which relate to the inventions in respect of which search fees have been paid, namely claims:
- ☒ None of the further search fees has been paid within the fixed time limit. The present European search report has been drawn up for those parts of the European patent application which relate to the invention first mentioned in the claims.

namely claims: 1, 2, 9-31



#### LACK OF UNITY OF INVENTION

The Search Division considers that the present European patent application does not comply with the requirement of unity of invention and relates to several inventions or groups of inventions, namely:

1. Claims 1,2,9-31: Lipase or isozyme of candida rugosa and its use for hydrolyzing esters, transesterifying esters or acids or esterifying acids or alcohols.
2. Claims 3-7: Process for purifying an enzyme by immobilization.
3. Claim 8: Process for purifying an enzyme by isoelectric focusing.
4. Claims 32-36: Process for increasing the stereoselectivity or rate of hydrolysis of esters.
5. Claims 37-38: Process for hydrolyzing or transesterifying mono-di-or triglycerides or esterifying mono-di-tri-or polyfunctional alcohol with a lipase isozyme.